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Agenda item 8

ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE

Draft Conclusions

Proposal by the Chairman

1. The SBSTA, recalling decision 5/CP.1, decided to adopt the uniform reporting format for activities implemented jointly (AIJ) under the pilot phase contained in annexes I and II to these conclusions. It noted that methodological work and the increasing experience in AIJ are likely to require future revisions of the uniform reporting format and decides to keep the format under regular review. The secretariat is requested to make suggestions for such revisions as appropriate.
2. The SBSTA, recalling that the Conference of the Parties may only consider those activities for which reports from all designated national authorities of Parties participating in the activity have been received by the secretariat (either jointly or separately), invited Parties to report on their AIJ under the pilot phase using the adopted format.
3. The SBSTA recalled that the submission deadline for reports to be considered in the synthesis report for the third session of the Conference of the Parties is 30 June 1997.
4. The SBSTA requested the secretariat to develop practical options with regard to the list of methodological issues contained in annex III to these conclusions and to report on the progress made in this respect. The SBSTA invited interested Parties and organisations to provide support to the secretariat in this task.

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Annex I
UNIFORM REPORTING FORMAT:
ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE

The uniform reporting format contained below is to be used in reporting on activities implemented jointly under the pilot phase. It is noted that the reporting should be consistent with decision 5/CP.1 and 8/CP.2 (reproduced in annexes I and II to this reporting format). The SBSTA notes that the uniform reporting format could possibly require revision in the light of experience gained and methodological work conducted under the pilot phase.

A. Description of project

1) Title of project:

2) Participants/actors:

Please fill in one table for each participant/actor. For individuals fill in as from item "Function within activity".

Item	Please fill in if applicable
Name of organization ^(a) :	
Name of organization (English):	
Department:	
Acronym:	
Acronym (English):	
Function within activity:	(standard classifiers to be developed)
Street:	
Post code:	
City:	
Country:	
Telephone:	
Fax:	
E-mail:	
WWW-URL:	
Contact person (for this activity):	-----
Surname:	
First name, middle name:	
Job title:	
Direct tel:	
Direct fax:	
Direct E-mail:	

^{a)} Organization includes: institutions, ministries, companies, non-governmental organizations, etc. involved in the activity, i.e. research institutes associated with the project, auditors, government agency closely following the activity.

3) Activity:

Item	Please fill in if applicable
General description:	
Type of project: ^{a)}	
Location (exact, e.g. city, region, state):	
Activity starting date:	
Expected activity ending date:	
Stage of activity: ^{b)}	Mutally agreed / in progress / completed
Lifetime of activity if different from ending date: ^{c)}	
Technical data: ^{d)}	

a) For example, using Intergovernmental Panel on Climate Change (IPCC) classification: energy efficiency; renewable energy; fuel switching; forest preservation, restoration or reforestation; afforestation; fugitive gas capture; industrial processes; solvents; agriculture; waste disposal or bunker fuels.

b) Circle the appropriate option.

c) Methodological work will be required to define lifetime of activities.

d) Methodological work will be required to determine for each type of activity what the minimum data requirements are.

4) Cost (to the extent possible):

Item	Year 1	Year 2	...	Year X
Cost of the project in US\$:				
AIJ component in US\$:				
US\$ per avoided ton of CO ₂ equivalent:				

Describe briefly how costs are determined:

5) Mutually agreed assessment procedures:

Describe the procedures, including name of organizations involved^{a)}:

a) Please ensure that detailed contact information for all organizations mentioned is reported under section A.2 above.

B. Governmental acceptance, approval or endorsement

Bearing in mind that all activities implemented jointly under this pilot phase require prior acceptance, approval or endorsement by the Governments of the Parties participating in these activities, which shall be shown as follows:

(a) In the case of joint reporting, the report is submitted by the designated national authority of one participating Party with the concurrence of all other participating Parties as evidenced by attached letters issued by the relevant national authorities;

(b) In the case of separate reporting, the reports are submitted separately by the designated national authority of each and every participating Party. Information will only be compiled once reports have been received from all participating Parties.

1) For the activity:

* First report and joint reporting: please add copies of letters of endorsement by each designated national authority of Parties involved in the activity.

* Subsequent reports:

Activity was: suspended
 terminated earlier

Describe:

2) This report is a joint report:

- Yes, forward copy of agreement/endorsement by the designated national authorities involved
- No

3) General short comment by the government(s) if applicable:

C. Compatibility with and supportiveness of national economic development and socio-economic and environment priorities and strategies

Describe (to the extent possible) how the activity is compatible with and supportive of national economic development and socio-economic and environment priorities and strategies

D. Benefits derived from the activities implemented jointly project

Whenever possible, quantitative information should be provided. Failing that, a qualitative description should be given. If quantitative information becomes available, it could be submitted using the update(s). (If the amount of quantitative information is too large, the source could be indicated.)

Item	Please fill in
Describe environmental benefits in detail:	
Do quantitative data exist for evaluation of environmental benefits?	Yes/no
Describe social/cultural benefits in detail:	
Do quantitative data exist for evaluation of social benefits?	Yes/no
Describe economic benefits in detail:	
Do quantitative data exist for evaluation of economic benefits?	Yes/no

E. Calculation of the contribution of activities implemented jointly projects that bring about real, measurable and long-term environmental benefits related to the mitigation of climate change that would not have occurred in the absence of such activities

1) Estimated emissions without the activity (project baseline):

Description of the baseline or reference scenario, including methodologies applied:

2) Estimated emissions with the activity:

Description of the scenario, including methodologies applied:

Fill in the following tables as applicable:

Summary table: *Projected emission reductions*:

	GHG	Year 1	Year 2	...	Year X
A) Project baseline scenario	CO ₂				
	CH ₄				
	N ₂ O				
	other				
B) Project activity scenario^{a)}	CO ₂				
	CH ₄				
	N ₂ O				
	other				
C) Effect (B-A)	CO ₂				
	CH ₄				
	N ₂ O				
	Other				
D) Cumulative effect	CO ₂				
	CH ₄				
	N ₂ O				
	Other				

^{a)} Includes indirect GHG leakages.

Summary table: *Actual emission reductions*:

	GHG	Year 1	Year 2	...	Year X
A) Project baseline scenario	CO ₂				
	CH ₄				
	N ₂ O				
	other				
B) Project activity scenario^{a)}	CO ₂				
	CH ₄				
	N ₂ O				
	other				
C) Effect (B-A)	CO ₂				
	CH ₄				
	N ₂ O				
	Other				
D) Cumulative effect	CO ₂				
	CH ₄				
	N ₂ O				
	Other				

^{a)} Includes indirect GHG leakages.

F. Bearing in mind that the financing of activities implemented jointly shall be additional to financial obligations of Parties included in Annex II to the Convention within the framework of the financial mechanism as well as to current official development assistance flows, please indicate

Source of project funding including pre-feasibility phase (For each source one line)	Amount (US dollars)

G. Contribution to capacity building, transfer of environmentally sound technologies and know-how to other Parties, particularly developing country Parties, to enable them to implement the provisions of the Convention. In this process, the developed country Parties shall support the development and enhancement of endogenous capacities and technologies of developing country Parties

Describe briefly the transfer of environmentally sound technology and know-how including where appropriate the type of technology, terms, education, capacity building etc.

H. Additional comments, if any, including any practical experience gained or technical difficulties, effects, impacts or other obstacles encountered

Fill in as appropriate:

1) Any practical experience gained:

2) Technical difficulties:

3) negative impacts and/or effects encountered:

Whenever possible, quantitative information should be provided. Failing that, a qualitative description should be given. If quantitative information becomes available, it could be submitted using the update(s). (If the amount of quantitative information is too large, the source could be indicated.)

Item	Please fill in
Describe environmental negative impacts/effects in detail:	
Do quantitative data exist for evaluation of environmental negative impacts/effects?	Yes/no
Describe social/cultural negative impacts/effects in detail:	
Do quantitative data exist for evaluation of social negative impacts/effects?	Yes/no
Describe economic negative impacts/effects in detail:	
Do quantitative data exist for evaluation of economic negative impacts/effects?	Yes/no

4) Other obstacles encountered:

5) Other:

Annex II

**UNIFORM REPORTING FORMAT:
 NATIONAL PROGRAMME ON ACTIVITIES IMPLEMENTED JOINTLY
 UNDER THE PILOT PHASE**

1. Designated national authority for activities implemented jointly

A) Please fill in if not already communicated or if changes have occurred.

Item	Please fill in if applicable
Name of the national authority :	
Name of the national authority (English):	
Department:	
Acronym:	
Acronym (English):	
Function within activity:	(standard classifiers to be developed)
Street:	
Post code:	
City:	
Country:	
Telephone:	
Fax:	
E-mail:	
WWW-URL:	
Contact person (for this activity):	-----
Surname:	
First name, middle name:	
Job title:	
Direct tel:	
Direct fax:	
Direct E-mail:	

2. Description of programme structure and features:

3. Process for obtaining approval

A) Brief description of procedure:

B) List all criteria for national acceptance of an activity implemented jointly:

a) Criteria that support decision 5/CP.1:

b) Other criteria for national acceptance of AIJ:

4. Summary of activities

A) Summary of AIJ projects reported under Annex I:

Type of project ^{a)}	Title of activity	Stage of activity ^{b)}	Remarks	GHGs			
				CO ₂	CH ₄	N ₂ O	Other
		Mutally agreed / in progress / completed		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		Mutally agreed / in progress / completed		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

^{a)} For example using IPCC classification: energy efficiency; renewable energy; fuel switching; forest preservation, restoration or reforestation; afforestation; fugitive gas capture; industrial processes; solvents; agriculture; waste disposal or bunker fuels.

^{b)} Circle the appropriate option.

B) Non project activities:

Annex III

INDICATIVE LIST OF METHODOLOGICAL ISSUES RELATED TO
ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE

- a) Determination of environmental benefits
- b) Modalities for measurement, reporting and assessment
- c) Endogenous capacity-building
- d) Transfer of environmentally-sound technologies and know-how
- e) Considerations of costs
- f) Modalities for mutually beneficial incentive structures for involved Parties,
acknowledging that there are no credits under the pilot phase
- g) Institutional arrangements
