



SUBSIDIARY BODY FOR IMPLEMENTATION  
Thirteenth session, Part I  
Lyon, 11-15 September 2000  
Agenda item 15

**REPORT ON THE SESSION**

**Draft report of the Subsidiary Body for Implementation  
on the first part of its thirteenth session**

Rapporteur: Mr. Klaus Radunsky (Austria)

CONTENTS

	<u>Paragraphs</u>	<u>Page</u>
I. OPENING OF THE SESSION (Agenda item 1) .....		
II. ORGANIZATIONAL MATTERS (Agenda item 2) .....		
A. Adoption of the agenda .....		
B. Organization of the work of the session .....		
III. IMPLEMENTATION OF ARTICLE 4.8 AND 4.9 OF THE CONVENTION (DECISION 3/CP.3 AND ARTICLES 2.3 AND 3.14 OF THE KYOTO PROTOCOL) (Agenda item 3) .....		
MATTERS RELATING TO ARTICLE 3.14 OF THE KYOTO PROTOCOL (Agenda item 4) .....		

\* Editor's note: The final report of the SBI will be available in all six official languages of the United Nations for the second part of the thirteenth session.

IV.	PROCEDURES AND MECHANISMS RELATING TO COMPLIANCE UNDER THE KYOTO PROTOCOL (Agenda item 5) .....	
V.	ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE (Agenda item 6) .....	
VI.	MECHANISMS PURSUANT TO ARTICLES 6, 12 AND 17 OF THE KYOTO PROTOCOL (Agenda item 7) .....	
VII.	CAPACITY-BUILDING (Agenda item 8) .....	
	A.	Capacity-building in developing countries (non-Annex I Parties) .....
	B.	Capacity-building in countries with economies in transition.....
VIII.	NATIONAL COMMUNICATIONS FROM PARTIES INCLUDED IN ANNEX I TO THE CONVENTION: GREENHOUSE GAS INVENTORY DATA FROM 1990 TO 1998 (Agenda item 9) .....	
IX.	NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED IN ANNEX I TO THE CONVENTION (Agenda item 10) .....	
	A.	Provision of financial and technical support.....
	B.	Report of the second meeting of the Consultative Group of Experts .....
	C.	Second compilation and synthesis of initial national communications from Parties not included in Annex I to the Convention .....

	<u>Paragraphs</u>	<u>Page</u>
X. FINANCIAL MECHANISM (Agenda item 11) .....		
A. Support to the Intergovernmental Panel on Climate Change.....		
B. Report of the Global Environment Facility to the Conference of the Parties .....		
C. Other matters.....		
XI. VENUE OF THE SEVENTH SESSION OF THE CONFERENCE OF THE PARTIES (Agenda item 12) .....		
XII. ADMINISTRATIVE AND FINANCIAL MATTERS (Agenda item 13) .....		
A. Possible options to respond to late payment of contributions .....		
B. Audited financial reports 1998-1999 and interim financial performance for 2000 .....		
C. Implementation of the Headquarters Agreement .....		
D. Cooperation with the secretariat of the United Nations Convention to Combat Desertification ..		
XIII. OTHER MATTERS (Agenda item 14).....		
XIV. REPORT ON THE SESSION (Agenda item 15) .....		

Annex<sup>1</sup>

I. List of documents before the Subsidiary Body for Implementation at its thirteenth session .....		
---	--	--

---

<sup>1</sup> The annex will be included in the final version of the report, to be issued under the symbol FCCC/SBI/2000/10.

## **I. OPENING OF THE SESSION**

(Agenda item 1)

1. The first part of the thirteenth session of the Subsidiary Body for Implementation (SBI) was held at the Palais des Congrès, Lyon, France, from 11 to 15 September 2000.
2. The opening of the session was preceded by a welcoming ceremony. Mr. Jan Szyszko, President of the Conference of the Parties, welcomed delegates to Lyon. Mr. Lionel Jospin, Prime Minister of France, and Mr. Raymond Barre, Mayor of Lyon, addressed the meeting. In addition, Mr. Michael Zammit Cutajar, Executive Secretary, made a statement. The meeting observed a minute of silence in memory of Mr. Jean Ripert, the first chairman of the Intergovernmental Negotiating Committee for a Framework Convention on Climate Change and Professor Zhong Shukong, senior environmental adviser in the Ministry of Foreign Affairs of the People's Republic of China.
3. The Chairman of the SBI, Mr. John Ashe (Antigua and Barbuda), opened the formal session on 11 September. The Chairman welcomed all Parties and observers to the session. He noted that in the course of this year's negotiations, and especially during the week of informal meetings which had preceded the thirteenth session, Parties had moved from a process that was intense and diffuse, to one that was more focused and had a clearer sense of urgency. The Chairman reported that the Convention had now received a total of 184 instruments of ratification, acceptance, approval or accession, while the number of ratifications or accessions to the Kyoto Protocol had reached 23.

[to be completed]

## **II. ORGANIZATIONAL MATTERS**

(Agenda item 2)

### **A. Adoption of the agenda**

(Agenda item 2 (a))

4. At its 1<sup>st</sup> meeting, on 11 September, the SBI considered the provisional agenda contained in document FCCC/SBI/2000/6.
5. The SBI adopted the following agenda:
  1. Opening of the session.
  2. Organizational matters:
    - (a) Adoption of the agenda;
    - (b) Organization of the work of the session.
  3. Implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol).
  4. Matters relating to Article 3.14 of the Kyoto Protocol.

5. Procedures and mechanisms relating to compliance under the Kyoto Protocol.
6. Activities implemented jointly under the pilot phase.
7. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol.
8. Capacity-building:
  - (a) Capacity-building in developing countries (non-Annex I Parties);
  - (b) Capacity-building in countries with economies in transition.
9. National communications from Parties included in Annex I to the Convention: greenhouse gas inventory data from 1990 to 1998.
10. National communications from Parties not included in Annex I to the Convention:
  - (a) Provision of financial and technical support;
  - (b) Report of the second meeting of the Consultative Group of Experts;
  - (c) Second compilation and synthesis of initial national communications from Parties not included in Annex I to the Convention.
11. Financial mechanism:
  - (a) Support to the Intergovernmental Panel on Climate Change;
  - (b) Report of the Global Environment Facility to the Conference of the Parties;
  - (c) Other matters.
12. Venue of the seventh session of the Conference of the Parties.
13. Administrative and financial matters:
  - (a) Possible options to respond to late payment of contributions;
  - (b) Audited financial reports 1998-1999;
  - (c) Interim financial performance 2000;
  - (d) Implementation of the Headquarters Agreement.
14. Other matters.
15. Report on the session.

**B. Organization of the work of the session**

(Agenda item 2 (b))

6. The SBI considered this sub-item at its 1<sup>st</sup> meeting, on 11 September. It had before it the tentative schedule of meetings contained in document FCCC/SBI/2000/6, annex II. The Chairman noted that the schedule of meetings should be used as a guide to the organization of work of the SBI, but that flexibility would be required to respond to the pace of progress.

**III. IMPLEMENTATION OF ARTICLE 4.8 AND 4.9 OF THE CONVENTION  
(DECISION 3/CP.3 AND ARTICLES 2.3 AND 3.14 OF THE  
KYOTO PROTOCOL)**

(Agenda item 3)

**MATTERS RELATING TO ARTICLE 3.14 OF THE KYOTO PROTOCOL**

(Agenda item 4)

1. Proceedings

7. The SBI considered these items together at its 2<sup>nd</sup> and \_\_\_ meetings, held jointly with the SBSTA, on 11 and \_\_\_ September respectively. It had before it document FCCC/SB/2000/5 and Corr.1.

8. At the 2<sup>nd</sup> meeting, held jointly with the SBSTA on 11 September, Mr. Bo Kjellén (Sweden) and Mr. Abdulmuhsen Al-Sunaid (Saudi Arabia), reported on the informal meetings relating to these items which they had chaired during the pre-sessional week at the request of the chairmen of the subsidiary bodies.

9. Also at the 2<sup>nd</sup> meeting, the subsidiary bodies agreed to consider these items by way of a joint contact group. The chairmen of the subsidiary bodies appointed Mr. Kjellén and Mr. Al-Sunaid to co-chair this contact group.

[to be completed]

2. Conclusions

[to be completed]

**IV. PROCEDURES AND MECHANISMS RELATING TO COMPLIANCE  
UNDER THE KYOTO PROTOCOL**

(Agenda item 5)

1. Proceedings

10. The SBI considered this item at its 2<sup>nd</sup> and \_\_\_ meetings, held jointly with the SBSTA, on 11 and \_\_\_ September respectively. It had before it document FCCC/SB/2000/7.

11. Informal meetings had been conducted during the pre-sessional week under the co-chairmanship of Mr. Harald Dovland (Norway), Chairman of the SBSTA, and Mr. Tuiloma Neroni Slade (Samoa).

12. At the joint meeting on 11 September, the chairmen referred discussion of this item to the Joint Working Group on Compliance (JWG) established by decision 8/CP.4,<sup>2</sup> under the co-chairmanship of Mr. Dovland and Mr. Slade.

[to be completed]

2. Conclusions

[to be completed]

**V. ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE**

(Agenda item 6)

1. Proceedings

13. The SBI considered this item at its 2<sup>nd</sup> and \_\_\_ meetings, held jointly with the SBSTA, on 11 and \_\_\_ September respectively. It had before it document FCCC/SB/2000/6 and Add.1.

[to be completed]

2. Conclusions

[to be completed]

**VI. MECHANISMS PURSUANT TO ARTICLES 6, 12 AND 17  
OF THE KYOTO PROTOCOL**

(Agenda item 7)

1. Proceedings

14. The SBI considered this item at its 2<sup>nd</sup> and \_\_\_ meetings, held jointly with the SBSTA, on 11 and \_\_\_ September respectively. It had before it the following documents: FCCC/SB/2000/4 and FCCC/SB/2000/MISC.4 and Add.1, Add.2/Rev.1 and Add.3.

15. At the joint meeting on 11 September, Mr. Kok Kee Chow (Malaysia) reported on the informal meetings he had chaired on this item in the pre-sessional week, at the request of the chairmen of the subsidiary bodies.

16. At the same meeting, the subsidiary bodies agreed to consider this item by way of a joint contact group, chaired by Mr. Chow.

---

<sup>2</sup> For the full texts of decisions adopted by the Conference of the Parties at its fourth session, see document FCCC/CP/1998/16/Add.1.

[to be completed]

2. Conclusions

[to be completed]

**VII. CAPACITY-BUILDING**

(Agenda item 8)

**A. Capacity-building in developing countries (non-Annex I Parties)**

(Agenda item 8 (a))

**B. Capacity-building in countries with economies in transition**

(Agenda item 8 (b))

1. Proceedings

17. The SBI considered these sub-items together at its 2<sup>nd</sup> and \_\_ meetings, held jointly with the SBSTA, on 11 and \_\_ September respectively. It had before it documents FCCC/SB/2000/8 and FCCC/SB/2000/9.

18. At the joint meeting on 11 September, Mr. John Ashe (Antigua and Barbuda), Chairman of the SBI, reported on the informal meetings relating to these sub-items which he had chaired during the pre-session week.

19. At the same meeting, the subsidiary bodies agreed to consider these sub-items by way of a joint contact group co-chaired by Mr. Ashe and Mr. Jukka Uosukainen (Finland).

[to be completed]

2. Conclusions

[to be completed]

**VIII. NATIONAL COMMUNICATIONS FROM PARTIES INCLUDED  
IN ANNEX I TO THE CONVENTION: GREENHOUSE GAS  
INVENTORY DATA FROM 1990 TO 1998**

(Agenda item 9)

1. Proceedings

20. The SBI considered this item at its 3<sup>rd</sup> meeting, on 12 September. It had before it document FCCC/SBI/2000/11.

21. The SBI agreed to consider, at the second part of its thirteenth session, conclusions proposed by the Chairman on this item.



[to be completed]

**IX. NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED  
IN ANNEX I TO THE CONVENTION**

(Agenda item 10)

**A. Provision of financial and technical support**

(Agenda item 10 (a))

1. Proceedings

22. The SBI considered this sub-item at its 3<sup>rd</sup> meeting, on 12 September. It had before it document FCCC/SBI/2000/INF.7.

23. The SBI agreed to consider, at the second part of the thirteenth session, a proposal by the Chairman for the conclusions on this item.

[to be completed]

**B. Report of the second meeting of the Consultative Group of Experts**

(Agenda item 10 (b))

1. Proceedings

24. At its 3<sup>rd</sup> meeting, on 12 September, the SBI decided to consider this sub-item at the second part of its thirteenth session.

**C. Second compilation and synthesis of initial national communications from Parties not included in Annex I to the Convention**

(Agenda item 10 (c))

1. Proceedings

25. At its 3<sup>rd</sup> meeting, on 12 September, the SBI decided to consider this sub-item at the second part of its thirteenth session.

**X. FINANCIAL MECHANISM**

(Agenda item 11)

**A. Support to the Intergovernmental Panel on Climate Change**

(Agenda item 11 (a))

1. Proceedings

26. The SBI considered this sub-item at its 3<sup>rd</sup> and \_\_ meetings, on 12 and \_\_ September respectively.

27. At the 3<sup>rd</sup> meeting, on 12 September, the secretariat of the Intergovernmental Panel on Climate Change (IPCC) provided a statement by Mr. Robert Watson, Chairman of IPCC, on the

status of the capacity-building proposal on vulnerability to climate change of the Global Environment Facility and IPCC.

[to be completed]

2. Conclusions

[to be completed]

**B. Report of the Global Environment Facility to the Conference of the Parties**

(Agenda item 11 (b))

1. Proceedings

28. At its 3<sup>rd</sup> meeting, on 12 September, the SBI decided to consider this sub-item at the second part of its thirteenth session.

**C. Other matters**

(Agenda item 11 (c))

1. Proceedings

29. The SBI considered this sub-item at its 3<sup>rd</sup> and \_\_\_ meetings, on 12 and \_\_\_ September respectively. It had before it document FCCC/SBI/2000/MISC.1.

30. At the 3<sup>rd</sup> meeting, the Chairman proposed that he would conduct informal consultations on a possible draft decision on additional guidance to the Global Environment Facility for consideration by the Conference of the Parties at its sixth session.

[to be completed]

2. Conclusions

[to be completed]

**XI. VENUE OF THE SEVENTH SESSION OF THE  
CONFERENCE OF THE PARTIES**

(Agenda item 12)

1. Proceedings

31. The SBI considered this item at its 3<sup>rd</sup> meeting, on 12 September. It had before it document FCCC/SBI/2000/7.

32. The representative of Morocco made a statement thanking delegates for the confidence accorded to his country in agreeing that the seventh session of the Conference of the Parties should be held in Marrakech. He informed the meeting that the conference would take place under the high patronage of His Majesty King Mohammed VI. The representative of Morocco

stated that he looked forward, on behalf of Morocco and of the whole of Africa, to welcoming delegates to Marrakech.

33. At the same meeting, the SBI heard a report from the secretariat concerning certain technical problems that had arisen recently, necessitating reconsideration of the dates for the first sessional period in 2001. The Chairman undertook to consult on this question and report back to the SBI.

[to be completed]

## 2. Conclusions

34. At its 3<sup>rd</sup> meeting, on 12 September, having considered a proposal by the Chairman, the SBI recommended a draft decision on the venue of the seventh session by the Conference of the Parties for adoption by the Conference at its sixth session (FCCC/SB/2000/L.3).

[to be completed]

## **XII. ADMINISTRATIVE AND FINANCIAL MATTERS**

(Agenda item 13)

### **A. Possible options to respond to late payment of contributions**

(Agenda item 13 (a))

#### 1. Proceedings

35. The SBI considered this sub-item at its 1<sup>st</sup> and 3<sup>rd</sup> meetings, on 11 and 12 September respectively. It had before it document FCCC/SBI/2000/5, annex I.

36. At its 1<sup>st</sup> meeting, on 11 September, after recalling that at its twelfth session the SBI had transmitted an initial draft decision proposed by the Chairman for consideration at its thirteenth session, the Chairman requested Mr. Mohamed M. Ould El Ghaouth to continue conducting informal consultations with interested Parties.

37. At the 3<sup>rd</sup> meeting, on 12 September, Mr. El Ghaouth reported that consultations were still continuing and that Parties preferred to postpone the finalization of the draft decision to the second part of the session.

#### 2. Conclusions

38. At its 3<sup>rd</sup> meeting, on 12 September, having considered the outcome of the informal consultations and the statements by representatives of six Parties, the SBI decided that the Chairman should continue informal consultations with the assistance of Mr. El Ghaouth and that decisions on this item should be postponed until the second part of the session in November, with a view to recommending a draft decision for adoption by Conference of the Parties at its sixth session (see FCCC/SBI/2000/5, annex I).

**B. Audited financial reports 1998-1999 and interim financial performance 2000**

(Agenda items 13 (b) and 13 (c))

1. Proceedings

39. The SBI considered these sub-items at its 3<sup>rd</sup> meeting, on 12 September. It had before it the following documents: FCCC/SBI/2000/8, FCCC/SBI/2000/9 and FCCC/SBI/2000/INF.12.

[to be completed]

2. Conclusions

40. At its 3<sup>rd</sup> meeting, on 12 September, the SBI took note of the audited financial reports 1998-1999, the audit observations and the interim financial performance in 2000. It expressed appreciation to the United Nations Board of Auditors for auditing the Conventions accounts and for its observations. It expressed its confidence that the audit recommendations would be implemented by the secretariat as far as applicable.

**C. Implementation of the Headquarters Agreement**

(Agenda item 13 (d))

1. Proceedings

41. At the 3<sup>rd</sup> meeting, on 12 September, the representative of Germany gave an interim report on the action taken by his Government as a follow-up to the conclusions agreed upon by the SBI at its twelfth session. He informed the SBI that additional office space had been made available as a temporary solution; reiterated the host Government's plan to accommodate all United Nations organizations in a single location; and reported on the current situation with regard to issuing visas to delegates as well as residence and work permits to United Nations staff and their families.

42. The Executive Secretary, in response, said that the secretariat would continue to work together with the host Government and other United Nations organizations located in Bonn on these issues, and report to the SBI on any progress made. He informed the SBI that the move of staff into the additional office premises would start after COP 6.

2. Conclusions

43. At its 3<sup>rd</sup> meeting, on 12 September, the SBI took note of the statements made by the host Government and the Executive Secretary, and invited them to report on progress at its next session.

**D. Cooperation with the secretariat of the United Nations  
Convention to Combat Desertification**

1. Proceedings

44. At the 3<sup>rd</sup> meeting, on 12 September, the Executive Secretary made an oral presentation regarding the plan to establish common administrative services between the secretariats of the UNFCCC and the United Nations Convention to Combat Desertification (UNCCD). The Chairman proposed that the secretariat report on this matter to subsequent sessions of the SBI.

2. Conclusions

45. At its 3<sup>rd</sup> meeting, on 12 September, the SBI took note of and welcomed the plan to establish common administrative services with UNCCD.

**XIII. OTHER MATTERS**

(Agenda item 14)

46. The SBI considered this item at its 3<sup>rd</sup> and \_\_\_ meetings, on 12 and \_\_\_ September respectively. At the 3<sup>rd</sup> meeting, the Chairman proposed that SBI consider this matter at the second part of the thirteenth session after the SBSTA had arrived at conclusions on the guidelines on Articles 7 and 8 of the Kyoto Protocol, with the aim of submitting these guidelines for adoption by the COP at its sixth session.

**XIV. REPORT ON THE SESSION**

(Agenda item 15)

1. Proceedings

47. At its \_\_\_ meeting, on \_\_\_ September, the SBI considered the draft report on its thirteenth session (FCCC/SBI/2000/L.2). At the same meeting, on a proposal by the Chairman, the SBI authorized the Rapporteur, with the assistance of the secretariat, to complete the report of the session, under the guidance of the Chairman.

[to be completed]

-----