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**Fast and slow timescales in the tropical low-cloud response**

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**to increasing CO<sub>2</sub> in two climate models**

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26 **ABSTRACT**

27 To obtain physical insights into the response and feedback of low clouds ( $C_l$ ) to  
28 global warming, ensemble  $4\times\text{CO}_2$  experiments were carried out with two climate  
29 models, the Model for Interdisciplinary Research on Climate (MIROC) versions 3.2 and  
30 5. For quadrupling  $\text{CO}_2$ , tropical-mean  $C_l$  decreases, and hence, acts as positive  
31 feedback in MIROC3, whereas it increases and serves as negative feedback in MIROC5.

32 Three time scales of tropical-mean  $C_l$  change were identified—an initial adjustment  
33 without change in the global-mean surface air temperature, a slow response emerging  
34 after 10-20 years, and a fast response in between. The two models share common  
35 features for the former two changes in which  $C_l$  decreases. The slow response reflects  
36 the variability of  $C_l$  associated with the El Niño-Southern Oscillation in the control  
37 integration, and may therefore be constrained by observations. However, the fast  
38 response is opposite in the two models and dominates the total response of  $C_l$ . Its sign is  
39 determined by a subtle residual of the  $C_l$  increase and decrease over the ascending and  
40 subsidence regions, respectively. The regional  $C_l$  increase (decrease) is consistent with a  
41 more (slightly less) frequent occurrence of a favourable condition for  $C_l$ , as measured  
42 by lower-tropospheric stability (LTS), over the ascending (subsidence) region. The  
43 above frequency change in LTS is similarly found in six other climate models despite a  
44 large difference in both the mean and the changes in the low-cloud fraction for a given  
45 LTS. This suggests that the response of the thermodynamic constraint for  $C_l$  to  
46 increasing  $\text{CO}_2$  concentrations is a robust part of the climate change.

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48  
49 Keywords: low clouds, climate model, lower-tropospheric stability,  $4\times\text{CO}_2$

50 **1. Introduction**

51 The global climate model (GCM) is a unique tool for simulating Earth's climate in  
52 a physically-based manner. GCMs have been improved for the past decades (Reichler  
53 and Kim 2008) and extensively used in the Intergovernmental Panel on Climate Change  
54 (IPCC) Assessment Reports (Solomon et al. 2007). While many aspects of the climate  
55 simulated in GCMs, such as temperature and wind fields, are much more realistic than  
56 in the past, the representation of clouds remains one of their largest limitations. Indeed,  
57 the current IPCC-class models show a substantial divergence in terms of sign and  
58 magnitude of the cloud-radiative feedback in response to increase in atmospheric CO<sub>2</sub>  
59 concentration (e.g, Bony and Dufresne 2005; Soden and Held 2006; Webb et al. 2006).  
60 In particular, shortwave radiative feedbacks associated with changes in low clouds  
61 (combination of stratiform, stratocumulus, and shallow cumulus clouds) remain largely  
62 unknown; they act as negative feedback in some GCMs, but vice versa in the others.

63 It is widely recognized that the tropical low-level cloud fraction ( $C_l$ ), a major player  
64 in the global cloud shortwave forcing, is partly controlled by the large-scale  
65 environment, especially over the subsidence regime. Klein and Hartmann (1993), and  
66 later Wood and Bretherton (2006), revealed that the inversion strength above the  
67 planetary boundary layer (PBL) provides a good measure of the distribution and  
68 seasonal cycle of  $C_l$ . This thermodynamic constraint is typically measured in terms of  
69 lower-tropospheric stability (LTS), defined by the difference in potential temperature  
70 ( $\theta$ ) between the 700 and 1000 hPa levels. There is also a dynamic constraint that affects  
71  $C_l$  as measured by the vertical pressure velocity at 500 hPa ( $\omega_{500}$ ) or the low-level  
72 divergence (Zhang *et al.* 2009). Several studies have shown that the cloud properties

73 sorted using these quantities reveal well the distinct cloud regimes in the GCMs (Wyant  
74 et al. 2006; Su et al. 2008; Medeiros and Stevens 2011).

75 In reality, the physics of low clouds are complex phenomenon involving mutual  
76 interaction between the large-scale environment and the local processes of turbulence,  
77 cloud microphysics, convection, and radiation. Therefore, it is difficult to construct a  
78 simple theory of low-cloud physics and their response to climate change. Yet, several  
79 works have proposed a simplified model for low clouds (Miller 1997; Larson et al.  
80 1999; Caldwell and Bretherton 2009). They argue a possible negative low-cloud  
81 feedback in a warmed climate. When there is non-uniform change in sea surface  
82 temperature (SST) in the tropics, this negative feedback results from thinning of the  
83 PBL, increased LTS, and thickened cloud layer. These changes are not fully  
84 investigated in the climate change simulation by GCMs.

85 There have been attempts to estimate climate sensitivity and cloud radiative  
86 feedback based solely on observations, which, if possible, greatly reduce the uncertainty  
87 in climate change projections. However, these observational estimates still suffer from  
88 large errors due to the short periods covered by the data as well as the uncertainty in the  
89 measurements (Forster and Gregory 2006; Murphy et al. 2009). A more critical question  
90 is whether the climate feedback estimated for the natural variability that dominates the  
91 short record is applicable to the feedback in long-term climate change due to radiative  
92 forcing. Clement et al. (2009) discussed low-cloud feedback associated with the Pacific  
93 decadal oscillation, by combining satellite cloud products and GCM simulations. They  
94 concluded that the low clouds over the northeastern Pacific serve as a positive feedback,  
95 and further suggested a similar feedback at work over the entire Pacific under global  
96 warming. Such an extrapolation may, however, be controversial since the metric

97 constructed over a particular regime is used for arguing the cloud feedback in other  
98 regimes. Dessler (2010) identified a positive cloud shortwave feedback in short-term  
99 variations in satellite and reanalysis data and also in climate models, but found that they  
100 are not correlated with the cloud feedback in response to long-term climate change.  
101 Thus, cloud feedback is apparently dependent on the time scale, which is the major  
102 focus of the present study.

103 Gregory and Webb (2008) demonstrated that, in GCMs, clouds can change without  
104 any change in the global-mean surface air temperature (SAT). This occurs rapidly as  
105 part of the tropospheric adjustment due directly to the radiative forcing caused by  
106 increased CO<sub>2</sub> levels. In contrast to this rapid adjustment, cloud changes in response to  
107 changing SAT are often called ‘slow feedback’. However, the above observational  
108 studies and a recent GCM study by Held et al. (2010) suggest that cloud feedback can  
109 also be classified according to the time scales. In the present study, the cause and  
110 timescale-dependence of tropical low-cloud feedback are examined using two GCMs,  
111 the Model for Interdisciplinary Research on Climate (MIROC) versions 3.2 and 5,  
112 which show an opposite sign of the cloud shortwave feedback to climate change  
113 (Watanabe et al. 2010). We intend to examine the extent to which the  $C_l$  change can be  
114 constrained by properties of the model’s natural variability, but not to conclude which  
115 version gives the correct  $C_l$  change. Furthermore, a robust part of the environmental  
116 changes related to  $C_l$ , which will be identified by comparing the two models, is verified  
117 by analysing outputs from six other GCMs.

118 The present paper is organized as follows. In Sect. 2, two versions of MIROC and  
119 abrupt 4×CO<sub>2</sub> experiments are described. Several observational data sets for validating  
120 the simulated cloud fields are also explained. In Sect. 3, the natural low-cloud

121 variability and its mechanism are examined using the model control runs and  
122 observations. The results are then applied in Sect. 4 to understand the low-cloud  
123 response to changes in radiative forcing. In particular, we emphasize the multiple time  
124 scales of the response, in which the property of the natural low-cloud variability plays a  
125 partial role. In Sect. 5, the analysis is extended to the multi-model outputs obtained from  
126 the Coupled Model Intercomparison Project phase 3 (CMIP3), in order to identify a  
127 robust portion of the low-cloud response. Section 6 presents the concluding discussion.

128

## 129 **2. Model and experiments**

### 130 *a. MIROC3.2*

131 MIROC version 3.2 (denoted as MIROC3.2) is a full atmosphere-ocean-land-sea ice  
132 coupled model, jointly developed at the Center for Climate System Research (CCSR)<sup>1</sup>,  
133 the University of Tokyo, National Institute for Environmental Studies (NIES), and the  
134 Japan Agency for Marine-Earth Science and Technology (JAMSTEC) (K-1 model  
135 developers 2004). This version of MIROC contributed to the IPCC Fourth Assessment  
136 Report (AR4). The atmospheric component model, including a multi-layer land model,  
137 employs a spectral dynamical core and implements a standard physics package which  
138 also incorporates a simplified aerosols module. The ocean and sea-ice models comprise  
139 the CCSR ocean component model (COCO). The resolution of the atmospheric model is  
140 T42L20 and the ocean component has approximately 1° grid spacing. They correspond  
141 to the ‘MIROC3.2med’ abbreviated in the IPCC AR4.

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### 143 *b. MIROC5*

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<sup>1</sup> Renamed the Atmosphere and Ocean Research Institute as of April, 2011.

144 We have upgraded MIROC3.2 to the latest version 5.0, denoted as MIROC5, which  
145 will be used for the IPCC Fifth Assessment Report (AR5). The basic framework of  
146 MIROC5 follows that of MIROC3.2, but many of the parameterization schemes in the  
147 atmospheric model have been replaced either by implementing recent ones or by  
148 schemes newly developed by our group. In particular, it is important to state for the  
149 present study that the following significant changes were made in the treatment of  
150 turbulence and clouds in MIROC5: the level 2.5 turbulence closure, prognostic cloud  
151 scheme, cloud microphysics, and a prognostic scheme for number concentrations of  
152 cloud droplets and ice crystals (see Watanabe et al. 2010 for details). The ocean and  
153 sea-ice fields are also calculated with an updated COCO. The standard resolution of the  
154 atmospheric model is T85L40, which is double that of MIROC3.2, while the ocean  
155 component employs almost the same horizontal resolution as that used in MIROC3.2.  
156 We conducted a 500-yr pre-industrial control simulation, which shows improvements in  
157 both the mean state and natural climate variability (Watanabe et al. 2010). For example,  
158 the features of the El Niño-Southern Oscillation (ENSO) are more realistic in MIROC5.  
159 Furthermore, the importance of a new cumulus convection scheme in the ENSO  
160 simulation was identified through perturbed parameter experiments (Watanabe et al.  
161 2011a).

162

### 163 *c. 4×CO<sub>2</sub> experiments*

164 The pre-industrial control experiments are first carried out with atmospheric CO<sub>2</sub>  
165 concentration of 285 ppm. We then take the initial conditions from the control runs,  
166 which are at least 20 yrs apart to avoid overlapping of the 4×CO<sub>2</sub> experiments. From  
167 each of the initial states, the models are integrated for 20 yrs with an abrupt quadrupling

168 of the CO<sub>2</sub> concentration from 285 to 1140 ppm. This concentration does not mimic the  
169 possible level of CO<sub>2</sub> in climate change scenarios but rather sets to increase the signal-  
170 to-noise ratio, as recommended in CMIP5 (cf. experiments 6.3 and 6.3E). A ten- and  
171 six-member ensemble is made with MIROC3.2 and MIROC5, respectively. The period  
172 of integration is short for the model's climate to be equilibrated, but long enough to  
173 estimate the effective climate sensitivity (Gregory et al. 2004). However, these  
174 ensembles do not represent a slowly evolving response of the climate system; therefore,  
175 we extended one member up to 150 yrs. The response of the variable  $x$  to the radiative  
176 forcing due to the quadrupled CO<sub>2</sub> concentration is evaluated using annual-mean fields  
177 and is denoted as  $\Delta x$ . We recognize the uncertainty associated with clouds due to  
178 interaction of clouds with both the radiation and meteorological fields, but focus in this  
179 study on the latter without arguing the cloud-radiative processes.

180

#### 181 *d. CFMIP1 database*

182 A systematic comparison of clouds simulated in GCMs has been proposed in the  
183 Cloud Feedback Model Intercomparison Project phase 1 (CFMIP1;  
184 <http://cfmip.metoffice.com>), which collected a dataset of equilibrium control and  
185 2×CO<sub>2</sub> experiments using coupled atmosphere-slab ocean models. The CFMIP1 data  
186 have so far been extensively used to analyse the cloud regime in control experiments as  
187 well as to examine cloud feedback in climate change simulation (Webb et al. 2006;  
188 Williams et al. 2006; Ringer et al. 2006; Tsushima et al. 2006; Williams and Tselioudis  
189 2007). We use the CFMIP1 data in this study and compare them with the cloud  
190 response identified in our two models; note that data from MIROC3.2 has also been  
191 submitted to CFMIP1. The data used are obtained from six models: the Canadian Centre



192 for Climate Modelling and Analysis (CCCma) low-resolution version, National Center  
193 for Atmospheric Research (NCAR) CCSM3, Geophysical Fluid Dynamics Laboratory  
194 (GFDL) CM2.0, Goddard Institute for Space Studies (GISS) ER, Institute for Numerical  
195 Mathematics (INM) CM3.0, and the Meteorological Research Institute (MRI)  
196 CGCM2.3.2. Each model provides a single member integrated for 20 yrs, from which  
197 we define  $\Delta x$  as in the MIROC outputs.

198

199 *e. Observational data*

200 To validate the cloud fields in the control experiments, we use two satellite-based  
201 low-cloud datasets. One is obtained from the International Satellite Cloud Climatology  
202 Project (ISCCP) (Rossow and Schiffer 1999). The ISCCP provides the longest term  
203 satellite cloud data, for 1984-2007 on a regular  $2.5^\circ$  grid. The other is derived from the  
204 Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) (Winker  
205 et al. 2009). The CALIPSO data are limited for the recent few years from January 2006  
206 to November 2008, but are able to capture a fine horizontal structure of clouds.

207 In addition to the satellite-derived cloud data, we use observations of SST for 1945-  
208 2006 derived from Ishii et al. (2006) and of the atmospheric fields obtained from two  
209 reanalyses: the Japanese 25-yr reanalysis (JRA25) (Onogi et al. 2007) for 1979-2009  
210 and the European Centre for Medium Range Weather Forecasts (ECMWF) 40-yr  
211 reanalysis (ERA40) (Uppala et al. 2005) for 1979-2001. These are all monthly basis and  
212 analysed in order to identify environmental conditions associated with past low-cloud  
213 variability.

214 For the cloud regime analysis performed in Sect. 5, we newly compiled the cloud  
215 data using CloudSat and CALIPSO and the cloud mask scheme C4, 'CloudSat or

216 CALIPSO' scheme, in which clouds are detected by at least one of these two satellites  
217 (Hagihara et al. 2010). The scheme was developed on the basis of cloud masks derived  
218 from shipborne 95GHz cloud radar and lidar observations in the western Pacific Ocean  
219 near Japan and in the tropical western Pacific (Okamoto et al. 2010, references therein).  
220 Radar reflectivity was derived from the CloudSat 2B GEOPROF product (release R04),  
221 in which a confidence level value  $\geq 20$  was applied to determine cloudy pixels from  
222 CloudSat (Marchand et al. 2008). The minimum detectable signal radar reflectivity is  
223 about -30 dBZ, implying that some cloud regions were not detected. This  
224 underestimation of cloud detection may account for more than 10% of low-level clouds,  
225 based on ship-based radar measurement. CALIPSO lidar level 1B (version 2.01)  
226 products were used as lidar backscattering coefficients for co- and cross-polarization at  
227 532 nm wave length. The CALIPSO cloud mask C2 used in this study is different from  
228 the standard cloud mask, vertical feature mask (VFM) ([http://eosweb.larc.nasa.gov/  
229 PRODOCS/calipso/Quality\\_Summaries/](http://eosweb.larc.nasa.gov/PRODOCS/calipso/Quality_Summaries/)). We first applied a threshold of the total  
230 backscattering coefficient at 532 nm to the target grid. The threshold depended on the  
231 background noise signal (estimated at 19–20 km altitude), the molecular signal derived  
232 from the ECMWF data. Next, the spatial continuity was tested using the surrounding  $5$   
233  $\times 5$  bins at altitudes  $< 5$  km, and  $9 \times 9$  bins at altitudes  $> 5$  km. The cloud mask results  
234 were then averaged to obtain the same vertical and horizontal resolutions as the  
235 CloudSat data (1.1 km and 240 m). It is worth noting that the cloud mask results for  
236 CALIPSO have less contamination by noise and aerosols at low altitude levels  
237 compared with the CALIPSO standard VFM (Hagihara et al. 2010; Okamoto et al.  
238 2010).  
239

### 240 3. Natural low-cloud variability

241 As a prelude to the low-cloud response to increasing CO<sub>2</sub> in GCMs, the property of  
242 natural low-cloud variability is compared between the observations and the models. The  
243 definition of low cloud (hereafter denoted as  $C_l$ ) follows ISCCP (Rossow and Schiffer  
244 1999). As stated in the introduction, the formation and dissipation of stratocumulus and  
245 shallow cumulus clouds, the major components in  $C_l$ , are partly controlled by large-  
246 scale environmental factors such as vertical motion and inversion strength. We therefore  
247 compare the local correlation between the monthly  $C_l$  anomalies and the anomalies of  
248 either  $\omega_{500}$  or LTS. The  $\omega_{500}$  field represents a dynamic constraint whereas LTS  
249 provides a thermodynamic constraint on  $C_l$ .

250 The observed correlation maps for 1984-2007 based on ISCCP and JRA reanalysis  
251 reveal that the  $C_l$  anomaly is overall positively correlated with both  $\omega_{500}$  and LTS (Fig.  
252 1a,b). In particular, the  $C_l$  variability is strongly coupled with the in-situ  $\omega_{500}$  (LTS)  
253 over the equatorial (subtropical) regions where the mean SST is higher (lower) than  
254 roughly 26 °C. While the influence of  $\omega_{500}$  and LTS to  $C_l$  is generally complementary in  
255 terms of the geographical distribution, both factors affect  $C_l$  in some regions such as the  
256 subtropical western Pacific and the southern Indian Ocean.

257 Correlation maps for the 150-yr control runs using MIROC3.2 and MIROC5 are  
258 shown in the remaining panels of Fig. 1. The  $C_l$ - $\omega_{500}$  and  $C_l$ -LTS relationships show  
259 several discrepancies compared to the observations: weak dynamical coupling over the  
260 tropical western Pacific and stronger thermodynamic coupling near the equator in  
261 MIROC3.2 (Fig. 1c,d), and a banded structure in the  $C_l$ -LTS relationship in MIROC5  
262 (Fig. 1f). Yet, the broad features of the dynamic and thermodynamic coupling with  $C_l$   
263 appear to be reproduced in the two models.

264        Given the strong local coupling of  $C_l$  with  $\omega_{500}$  and LTS, which are ultimately  
265 maintained by the underlying SST, we attempt to extract the leading mode of variability  
266 in the natural  $C_l$  variability together with the dominant pattern of the SST variability.  
267 For this purpose, singular value decomposition (SVD) analysis is applied to the monthly  
268  $C_l$  and SST anomalies over the tropical oceans between 30° S and 30° N. To obtain  
269 robust observational estimates, two sets of cloud and SST data are used: monthly SST  
270 by Ishii et al. (2006) and ISCCP cloud products<sup>2</sup> from July 1983 to June 2005 (Fig.  
271 2a,b), and NOAA OISST and CALIPSO data from June 2006 to November 2008 (Fig.  
272 2c,d). Despite the different sources and periods of data, both sets show the leading SVD  
273 very similar to each other; the SST anomaly pattern clearly represents the ENSO warm  
274 phase and the associated  $C_l$  fields show the reduction over the positive SST anomaly  
275 and vice versa. Because the SST and  $C_l$  anomalies are not uniform in space, the tropical-  
276 mean  $C_l$  anomaly associated with the leading SVD is small but slightly negative.

277        Figure 2a-d suggests that the dominant  $C_l$  variability in the tropics is the response to  
278 ENSO, so that the reproducibility in the GCMs may depend on the ability of the ENSO  
279 simulation. It has been reported that MIROC5 produces a more realistic ENSO in terms  
280 of the spatial structure and amplitude (Watanabe et al. 2010). This, in fact, is seen in the  
281 difference in the leading SVD patterns (Fig. 2e-h). The SST anomaly pattern in  
282 MIROC3.2 lacks the horse-shoe shaped cooling in the western Pacific and thereby the  
283 uniform negative anomaly dominates the  $C_l$  field (Fig. 2e-f). The anomaly patterns in  
284 MIROC5 have more resemblance to the observational counterparts (Fig. 2g,h).

285        The leading SVDs, possibly representing ENSO and its driving of  $C_l$ , account for

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<sup>2</sup> As discussed in Clement et al. (2009), some  $C_l$  signals might be included in the mid-level cloud data in ISCCP. We tested the analysis to both low-cloud data and merged low- and mid-cloud data separately, but the results were not significantly different. Therefore, we present only the SVD based on the original low-cloud data.

286 40-70% of the total covariance; this suggests that a measure for ENSO can be used to  
 287 explain the tropical-mean  $C_l$  anomaly. This idea is tested by plotting the area-weighted,  
 288 tropical-mean  $C_l$  anomaly (30° S and 30° N over oceans, denoted as  $\langle C_l' \rangle$ ) against the  
 289 Niño 3 SST anomaly (Fig. 3a-c). The tropical average is affected by regional errors and  
 290 a bias in the ISCCP  $C_l$  field, so we used the monthly  $\langle C_l' \rangle$  time series from January  
 291 2006 to November 2008 based on the CALIPSO data. It shows a negative correlation  
 292 with the Niño 3 SST anomaly ( $r = -0.47$ ) and reveals a 0.44% decrease per 1K increase  
 293 in SST (Fig. 3a). A similar negative correlation is found in the two GCMs, but the  
 294 regression slope in MIROC3.2 is larger and indicative of higher sensitivity of  $\langle C_l' \rangle$  to  
 295 ENSO (Fig. 3b,c).

296 The  $C_l$  response to ENSO will be partly generated via changes in the large-scale  
 297 environment. By referring to  $\omega_{500}$  as an environmental variable, the  $C_l$  anomaly,  $C_l'$ , is  
 298 expressed following Bony et al. (2004):

$$299 \quad \tilde{C}_l' \approx \int_{\omega} P_{\omega}' \bar{C}_l(\omega) d\omega + \int_{\omega} \bar{P}_{\omega} C_l'(\omega) d\omega \quad , \quad (1)$$

300 where  $\tilde{C}_l'$  denotes the reconstruction of  $C_l'$ ,  $\bar{P}_{\omega}$  and  $P_{\omega}'$  are the probability density  
 301 functions (PDFs) of the  $\omega_{500}$  climatology and anomaly, respectively, and  $\bar{C}_l(\omega)$  and  
 302  $C_l'(\omega)$  are the climatology and anomaly of the composite  $C_l$  with respect to  $\omega_{500}$ . The  
 303 first term is often called the dynamic component whereas the second term is known as  
 304 the thermodynamic component of the cloud regime. When (1) is applied to the ENSO-  
 305 related anomalies,  $\langle C_l' \rangle$  is well reproduced both in observations and models (root mean  
 306 square errors are 0.27, 0.12, and 0.39% for Fig. 3a-c). The contribution of each  
 307 component can then be seen in the scatterplot of the two terms in (1) against  $\langle \tilde{C}_l' \rangle$ ,

308 which shows that the observed  $\langle C_l' \rangle$  variability mostly occurs thermodynamically, i.e.,  
309 without change in  $P_\omega$  (Fig. 3d). This thermodynamic driving of  $\langle C_l' \rangle$  is qualitatively  
310 reproduced in MIROC5, but not in MIROC3.2 (Fig. 3e,f).

311 To summarize, the above results indicate that the tropical-mean  $C_l$  variability in the  
312 absence of any change in radiative forcing, i.e., the natural variability, is governed by  
313 ENSO and occurs through thermodynamic processes. These observed features are better  
314 reproduced in MIROC5. However, it may not guarantee that the response of  $C_l$  to the  
315 change in radiative forcing in MIROC5 is more reliable than that in MIROC3.2. The  
316 question of how the natural variability is related to the externally induced climate  
317 change is examined in the next section using the  $4\times\text{CO}_2$  experiments.

318

#### 319 **4. Three timescales of the low-cloud response**

##### 320 *a. Adjustment, fast and slow responses*

321 Following previous studies that showed a quasi-linear relationship between the  
322 changes in the global-mean radiative budgets and in SAT under the doubling of  $\text{CO}_2$   
323 (Gregory et al. 2004; Gregory and Webb 2008), we use the global-mean SAT response,  
324 denoted as  $\Delta\text{SAT}_g$ , defined by the annual- and ensemble-mean difference between the  
325 control and  $4\times\text{CO}_2$  experiments for each model. Assuming that the radiatively forced  
326 response of  $x$ , i.e.,  $\Delta x$ , can be represented by a linear function of  $\Delta\text{SAT}_g$ , we write

$$327 \quad \Delta x \approx \alpha_x \Delta\text{SAT}_g + \Delta x_0 \quad (2)$$

328 where  $\alpha_x$  is the regression slope of  $\Delta x$  against  $\Delta\text{SAT}_g$  while  $\Delta x_0$  is the interception. By  
329 definition,  $\Delta x_0$  represents a component of  $\Delta x$  occurring without change in  $\text{SAT}_g$  and  
330 hence is referred to as the ‘adjustment’. The rate of response proportional to  $\Delta\text{SAT}_g$ ,  $\alpha_x$ ,

331 measures the sensitivity of  $x$  to the global-mean surface warming and is called  
332 ‘feedback’ throughout the paper. Specifically, a change in  $x$  may not necessarily  
333 feedback to SAT, but we use the term (except for  $\alpha_{\text{SAT}}$ ) in an analogical sense to the  
334 change in radiative fluxes. Both the adjustment and feedback are evaluated on an annual  
335 basis using 20-yr ensemble runs, so that the number of samples becomes 200 and 120  
336 for MIROC3.2 and MIROC5, respectively.

337 The local  $\alpha_{\text{SAT}}$  is first presented in Fig. 4a,c. The surface warming patterns are  
338 similar to each other in several aspects: larger warming over land than over the ocean,  
339 well-known polar amplification around the Arctic, and less warming or slight cooling  
340 over the Antarctic circumpolar region. These features have been identified in realistic  
341 climate change simulations (Solomon et al. 2007). Given the fact that the change in  
342 global-mean radiative fluxes either at the top of atmosphere or on the surface is well  
343 fitted by (2), and that the change in cloud shortwave radiation is greatly affected by the  
344 change in low cloud (Klein and Hartmann 1993), we would expect a quasi-linear  
345 relationship between the global-mean  $\Delta C_l$  and  $\Delta \text{SAT}_g$ , which is, however, not observed  
346 (Fig. 4b,d). For the first 20 yrs, the ensemble- and global-mean  $\Delta C_l$  tends to show a  
347 monotonic decrease in MIROC3.2 and increase in MIROC5 (blue symbols). Afterwards,  
348  $\Delta C_l$  appears to fluctuate more independently of  $\Delta \text{SAT}_g$ , as indicated by the weak  
349 correlation of  $r = -0.21$  and  $-0.23$ .

350 We calculated the correlation of the local  $\Delta \text{SAT}$  and the global-mean  $\Delta C_l$  using the  
351 150-yr single run, which revealed that the global-mean  $\Delta C_l$  is highly correlated with  
352  $\Delta \text{SAT}$  over the eastern equatorial Pacific (not shown). This suggests that the global-  
353 mean  $\Delta C_l$  is better explained in terms of the projection on to the natural variability  
354 shown in Fig. 2. Because of a great similarity in the temporal evolution between the

355 global-mean and tropical-mean  $\Delta C_l$ , we use  $\Delta C_l$  averaged over the tropical oceans (30° S  
356 - 30° N) and plot it against  $\Delta SST$  in the Niño 3 region (Fig. 5). It is evident that  $\Delta C_l$  is  
357 more coherent with the Niño 3  $\Delta SST$  than  $\Delta SAT_g$ ; the correlation after 20 yrs reaches  $r$   
358 = -0.86 and -0.69 in MIROC3.2 and MIROC5, respectively. It is interesting to note that  
359 the regression slope, -0.59 and -0.25% K<sup>-1</sup>, is nearly identical to the slope obtained from  
360 the natural variability presented in Fig. 3b,c (also represented by the dashed lines in Fig.  
361 5). This coincidence implies that  $\Delta C_l$  on a time scale longer than 20 yrs can be  
362 constrained by the natural variability associated with ENSO, which has observational  
363 counterparts. By referring to Fig. 3a as the observational estimate, the slow negative  $C_l$   
364 feedback may be overestimated in MIROC3.2. Since the annual-mean changes in a  
365 single run include natural variability, one may suspect that the slope of the slow change  
366 simply reflects the internal fluctuation in a quasi-equilibrated climate but not the forced  
367 response. It is, however, not true, and the decadal-mean changes (red circles in Fig. 5)  
368 indeed show the decreasing/increasing tendency well fitted by the slope of the internal  
369 variability.

370 While it is encouraging that a part of  $\Delta C_l$  can be constrained by natural variability,  
371 Fig. 5 reveals that the total  $\Delta C_l$  averaged over the entire period is not determined by the  
372 slow response. During the first few years,  $\Delta C_l$  tends to be negative in MIROC3.2 while  
373 positive in MIROC5, as seen in the ensemble-mean response for the 20-yr runs (blue  
374 symbols in Fig. 5). It is this fast response that determines the sign of the low-cloud  
375 response in the two models. The mechanism of the fast response is therefore the heart of  
376 the low-cloud change to 4×CO<sub>2</sub> as will be elaborated in Sect. 4b.

377 It is clear that  $\Delta C_l$  depends on  $\Delta SST$  in a nonlinear fashion, hence  $\Delta x_0$  in (2) may  
378 not be a good measure for the initial adjustment of clouds. We therefore used  $\Delta C_l$  at the



379 initial month of the ensemble, as presented by stars, which show a slight reduction of -  
380 0.23 and -0.28% in MIROC3.2 and MIROC5. This decrease is almost independent of  
381 both  $\Delta\text{SAT}_g$  and the Niño 3  $\Delta\text{SST}$ , and is discussed further in this section.

382 In simple models for low clouds, the change in  $C_l$  is often argued to be coupled with  
383 changes in the PBL thickness,  $Z_{\text{PBL}}$  (Larson et al. 1999; Caldwell and Bretherton 2009).  
384 The adjustment component of  $Z_{\text{PBL}}$  is shown in Fig. 6a,b. Because of the different  
385 turbulence scheme, the mean  $Z_{\text{PBL}}$  is somewhat different between MIROC3.2 and  
386 MIROC5, the latter showing deeper PBL (contours in Fig. 6a,b). Nevertheless, the  
387 patterns of  $\Delta Z_{\text{PBL0}}$  appear to be similar to each other in terms of sign and magnitude;  
388 they both show the initial shoaling of the PBL. Interestingly, the ‘feedback’ component  
389 of  $Z_{\text{PBL}}$  is much smaller (not shown), indicating that  $Z_{\text{PBL}}$  is sensitive to the direct  
390 radiative forcing but not so to slow SST increases.

391 Among the various factors controlling  $Z_{\text{PBL}}$ , such as buoyancy flux at the surface,  
392 cumulus mass flux, and LTS, the buoyancy input from the surface plays a dominant role  
393 over the tropical oceans (Medeiros et al. 2005). Indeed, the adjustment components of  
394 the surface heat flux (sum of the sensible and latent fluxes, denoted as  $Q$ ) are negative  
395 over most of the tropical oceans (Fig. 6c,d). They indicate the reduction of buoyancy  
396 production required for deepening the PBL and thereby seem to explain the negative  
397  $\Delta Z_{\text{PBL0}}$ . In the tropospheric adjustment process,  $Q$  is known to change without any  
398 change in  $\text{SAT}_g$  (Gregory and Webb 2008). Andrews et al. (2009) demonstrated that the  
399 positive downward radiative forcing is smaller at the surface than at the tropopause,  
400 which results in a rapid reduction in  $Q$  to accomplish the energy balance in the  
401 troposphere (cf. their Fig. 8). The results shown in Fig. 6c,d are consistent with this

402 argument and a thinner PBL and low-cloud layer are thus a robust part of the  
403 tropospheric adjustment at least in the two models.

404

405 ***b. Mechanism for fast response***

406 We need to elucidate the reasons why the tropical-mean  $\Delta C_l$  decreases in  
407 MIROC3.2 and increases in MIROC5 on a fast time scale (Fig. 4b,d). For this purpose,  
408 the ‘feedback’ components,  $\alpha$  in (2), are calculated for  $C_l$ ,  $\omega_{500}$ , LTS, and SST using the  
409 ensemble of 20-yr runs. It should be noted that a positive  $C_l$  feedback means an increase  
410 of  $C_l$  in response to the positive  $\Delta \text{SAT}_g$ , corresponding to a negative cloud shortwave  
411 feedback.

412 Figure 7a,b compares the feedback for  $C_l$  over the tropical oceans in MIROC3.2  
413 and MIROC5. As  $\text{SAT}_g$  increases,  $C_l$  decreases over the equatorial Pacific while  
414 increasing over the southern subtropics in both models. A major difference is found  
415 over the Indian Ocean and the northern subtropical Pacific, where  $C_l$  decreases in  
416 MIROC3.2 but increases in MIROC5. The  $C_l$  feedback patterns are consistent with  
417 feedbacks in both  $\omega_{500}$  and LTS (Fig. 7c-f). The  $\omega_{500}$  feedback,  $\alpha_\omega$ , is negative over the  
418 equatorial Pacific and positive over the mean ascending regions, indicating a slowdown  
419 of the tropical circulation. This response is similarly found in the two models as well as  
420 being reported in realistic scenario experiments (e.g., Vecchi et al. 2006). The LTS  
421 feedback,  $\alpha_{\text{LTS}}$ , is overall positive in the tropics, but shows a horizontal inhomogeneity  
422 in its magnitude;  $\alpha_{\text{LTS}}$  is relatively small (large) over the equatorial (southern  
423 subtropical) Pacific where the  $C_l$  feedback is negative (positive). Over the western off-  
424 equatorial Pacific, both  $\alpha_\omega$  and  $\alpha_{\text{LTS}}$  are large in MIROC5 compared to MIROC3.2,  
425 which appears to match the greater increase in  $C_l$  (Fig. 7b,d,f).

426 The fast response of the atmosphere occurring over several years can ultimately be  
427 attributed to changes in the tropical SST that responds to the radiative forcing even on  
428 such a time scale. Figure 7g,h shows that SST warms as much as  $SAT_g$  during the 20-yr  
429 period, i.e.,  $\alpha_{SST} \sim 1$ . Yet, the warming is not uniform and a greater SST increase  
430 accompanies a smaller increase in LTS and an ascending tendency in  $\omega_{500}$ , for example,  
431 over the central-eastern equatorial Pacific in MIROC5. This correspondence of the  
432 spatial patterns between changes in SST, LTS,  $\omega_{500}$  and  $C_l$  has been identified in  
433 realistic climate change simulations as well (Watanabe et al. 2011b). Despite the  
434 warming of the ocean surface everywhere in the tropics,  $\alpha_{LTS}$  is positive, which  
435 indicates more warming of the lower troposphere above the PBL. The entirely positive  
436  $\alpha_{LTS}$  is in contrast to the pattern of  $\alpha_{\omega}$ , which cannot be uniformly positive or negative  
437 in accordance with the mass conservation of the tropical air mass. This suggests that the  
438 tropical-mean, but not regional  $C_l$  response is primarily controlled by the change in  
439 stability but not the circulation.

440 In order to verify the above inference, the cloud regime composite used in Sect. 3 is  
441 applied to the fast response. Namely, (1) is rewritten for  $\Delta$ :

$$442 \quad \Delta \tilde{C}_l \approx \int_{\omega} \Delta P_{\omega} C_l^{CTL}(\omega) d\omega + \int_{\omega} P_{\omega}^{CTL} \Delta C_l(\omega) d\omega \quad (3a)$$

$$\approx \int_s \Delta P_s C_l^{CTL}(s) ds + \int_s P_s^{CTL} \Delta C_l(s) ds \quad (3b)$$

443 where  $\Delta \tilde{C}_l$  is the reconstruction of  $\Delta C_l$ ,  $P_{\omega}^{CTL}$  the mean PDF in the control run,  $\Delta P_{\omega}$  the  
444 PDF difference between the control and the  $4 \times CO_2$  runs,  $C_l^{CTL}(\omega)$  and  $\Delta C_l(\omega)$  are  
445 similar to  $P_{\omega}^{CTL}$  and  $\Delta P_{\omega}$  but for the composite of  $C_l$  with respect to  $\omega_{500}$ . The subscript  
446 of  $\omega$  in (3a) can be replaced with LTS, denoted as  $s$  in (3b). When we choose  $\omega_{500}$  as a  
447 reference, the first (second) term represents the dynamical (thermodynamic) component

448 of  $\Delta\tilde{C}_l$  and vice versa for  $s$ . It is possible to construct a joint PDF using  $\omega_{500}$  and  $s$ , but  
 449 we carried out the calculation separately because the two variables are not independent  
 450 (not shown). The regime composite of the observed  $C_l$  anomaly on the two-dimensional  
 451 phase plane has been computed by Medeiros and Stevens (2011), who show that the  $C_l$   
 452 anomaly depends more on  $s$  (cf. their Fig. 2).

453 The regime composite  $C_l^{\text{CTL}}(\omega)$ , and  $P_\omega^{\text{CTL}}$  are represented by blue curves in Fig.  
 454 8a,c. As is well known, most of the tropics are occupied by weak subsidence except for  
 455 a small area having a strong ascent;  $P_\omega^{\text{CTL}}$  is thus skewed in both models. When  
 456  $C_l^{\text{CTL}}(\omega)$  is compared with satellite observations (cf. Fig. 1 of Bony and Dufresne 2005),  
 457 MIROC3.2 (MIROC5) is found to underestimate (overestimate) the amount of  $C_l$  in the  
 458 subsidence (ascent) regime. Nevertheless, the  $C_l$  responses, i.e.  $\Delta C_l(\omega)$ , in each regime  
 459 resemble each other: increasing for  $\omega_{500} < 0$  and decreasing for  $\omega_{500} > 0$ . This indicates  
 460 that, in spite of the opposite sign of the tropical-mean  $\Delta C_l$ , low cloud is suppressed in  
 461 the  $4\times\text{CO}_2$  runs over the subtropical cool oceans where  $C_l^{\text{CTL}}(\omega)$  dominates. The  
 462 tropical-mean  $\Delta C_l$  is determined by a subtle residual; the  $C_l(\omega)$  reduction in the  
 463 subsidence regime is prevailing over the enhancement in the ascent regime, leading to  
 464 the net decrease in MIROC3.2, and vice versa in MIROC5. A certain difference between  
 465  $C_l^{\text{CTL}}(\omega)$  and  $C_l^{\text{CTL}}(\omega) + \Delta C_l(\omega)$ , together with a similarity between  $P_\omega^{\text{CTL}}$  and  
 466  $P_\omega^{\text{CTL}} + \Delta P_\omega$ , clearly indicates that the thermodynamic change in each cloud regime is the  
 467 major factor for  $\Delta\tilde{C}_l$ .

468 The thermodynamic constraint to  $\Delta C_l$  is expressed in terms of the PDF for LTS,  
 469  $\Delta P_s$ , in (3b). Indeed,  $P_s^{\text{CTL}} + \Delta P_s$  is displaced toward a higher value in both models,

470 resulting in a positive contribution to  $\Delta\tilde{C}_l$  (Fig. 8b,d). The composite of  $\Delta C_l(s)$  is  
471 negative for large LTS, indicating that the second term in (3b) works to reduce  $C_l$ . The  
472 shift in  $P_s$  is small in MIROC3.2. Because of this and underrepresentation of the mean  
473  $C_l(s)$ , the thermodynamic contribution  $\Delta P_s C_l^{\text{CTL}}(s)$  will be small and thus cannot  
474 overcome the negative effect due to the dynamic component in MIROC3.2. To  
475 summarize, both similarities and differences are identified in the cloud regime changes  
476 in the two models. The major similarity is the dominant thermodynamic driving of  $\Delta C_l$   
477 in which a more stable condition, as represented by  $\Delta P_s$ , should favour a positive  $\Delta C_l$ .  
478 The differences are mostly in the quantitative sense, e.g., smaller  $\Delta P_s$  in MIROC3.2  
479 which, however, determines the sign of the tropical-mean  $\Delta C_l$ . In order to examine the  
480 extent to which the similarity found between the two models is generally valid, we  
481 analyse the multi-model outputs obtained from the CFMIP1 in the next section.

482

## 483 **5. Robust thermodynamic changes in CFMIP models**

484 Given the dominant thermodynamic effect on  $\Delta C_l$  in MIROC, we extend the regime  
485 analysis to outputs from the CFMIP1 models. We use cloud fraction but not  $C_l$  because  
486 the models providing temperature and/or  $\omega_{500}$  lack the  $C_l$  data obtained from the ISCCP  
487 simulator. The composite cloud fraction sorted by LTS is calculated either on the model  
488 level or on the pressure level and then collectively plotted in Fig. 9. For reference, we  
489 computed a similar composite diagram using the CALIPSO data (see Sect. 2e for the  
490 method).

491 Before examining the cloud changes in  $2\times\text{CO}_2$  and their differences among the  
492 models, we compare the mean cloud fraction between CALIPSO and GCMs (shading in

493 Fig. 9). The satellite-based estimate of the cloud fraction (Fig. 9a) reveals the following  
494 characteristics: a maximum of more than 30% occurring at the highest value of LTS,  
495 and a gradual increase of the cloud layer altitude as LTS decreases. These features of  
496 the mean low cloud fraction may also be seen when we make the longitude-height  
497 section along the subtropical eastern oceans (Wang et al. 2004). All the GCMs not only  
498 fail to reproduce the cloud distribution derived from CALIPSO but also show different  
499 types of bias. Namely, low clouds are overestimated for low LTS in MIROC5, CCSM3,  
500 and GISS ER, whereas overall they are underestimated in MIROC3, CCCma, and  
501 GFDL CM2.0. The cloud layer is too thin in MRI GCM. The causes of these biases  
502 would involve various factors and are beyond the scope of this study, but we need to  
503 bear them in mind when comparing the cloud change in the  $2\times\text{CO}_2$  runs.

504 The divergence of the mean cloud distribution in GCMs prevents us from detecting  
505 and understanding the consistent change in the cloud fraction in the  $2\times\text{CO}_2$  experiments  
506 (contours in Fig. 9). Yet, we can identify some consistency although it may not  
507 necessarily explain the different magnitude and sign of the total low-cloud change. For  
508 example, a relatively large change in the cloud fraction is found at small LTS in models  
509 that overestimate the mean cloud there (e.g., MIROC5, CCSM3, and GISS ER). At  
510 large LTS, many models show an increase and decrease of clouds above and below the  
511 mean cloud layer, suggesting an upward shift of the cloud layer. This accompanies an  
512 asymmetry in the cloud amount change, either a greater increase (e.g., MIROC5,  
513 CCSM3, and GISS ER) or decrease (e.g., MIROC3, INM, and MRI), probably resulting  
514 in a non-zero change of the low-cloud amount.

515 Despite large differences in the mean cloud fraction and its changes among GCMs,  
516 the change in the thermodynamic condition  $P_s$  has a common structure, which

517 represents a shift of the PDF peak to larger values (bottom panels in Fig. 9). While the  
 518 degree of the PDF shift depends on the model (for example, it is large in CCSM3 but  
 519 small in INM), this coincidence indicates that the changing thermodynamic constraint as  
 520 found in the two MIROC models (Fig. 8b,d) is a robust part of the climate change. If the  
 521 cloud change at a given LTS (contours) does not prevail, this thermodynamic effect  
 522 should act to increase the low cloud in all the models.

523 The positive shift of  $P_s$ , i.e. increased stability, can also be represented on a  
 524 geographical map by defining the frequency of the stable condition:

$$525 \quad f_s = \frac{1}{N} \sum_n \delta_n, \quad \delta_n = \begin{cases} 1 & \text{for } s_n \geq s_0 \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

526 where  $N$  indicates the number of samples at a grid point ( $N = 240$ ), and  $s_0$  is the  
 527 threshold of the LTS. In the reanalysis, we may set  $s_0 = 15$  K (shaded region in Fig. 9a).  
 528 For the GCMs, we need to take the mean bias into account, so that the value is defined  
 529 for each model:  $s_0 = 15$  K for CCCma, INM CM3.0, and MRI CGCM2.3.2,  $s_0 = 16$  K  
 530 for NCAR CCSM3, and  $s_0 = 13$  K for GFDL CM2.0, GISS ER, and MIROC. The  
 531 choice of  $s_0$  is somewhat subjective, but the area of  $f_s$  greater than 0.9 in the control runs  
 532 (contours in Fig. 10) indicates that it is indeed capturing the mean subtropical low-cloud  
 533 regions in all the models.

534 As expected, the change in  $f_s$  to the radiative forcing, i.e  $\Delta f_s$ , is overall positive in  
 535 the tropics, and shows similar spatial patterns among the models. Remarkably, a weak  
 536 positive  $\Delta f_s$  is commonly found over the subtropical Pacific and Atlantic. In contrast to  
 537 the diversity in magnitude and sign of  $\Delta f_s$  near the equator, this robust response in the  
 538 subtropics suggests that the shallow trade cumulus clouds are stimulated by the frequent  
 539 occurrence of stable conditions. It is somewhat surprising that  $\Delta f_s$  is small or even

540 negative over the eastern subtropical oceans where the mean  $f_s$  is large. These areas  
541 mostly satisfy a condition of high LTS in the control runs, which may therefore not  
542 change drastically under the warmed climate.

543

## 544 **6. Concluding discussion**

545 Motivated by the fact that the two different versions of the climate model MIROC  
546 show opposite signs of cloud shortwave feedback to global warming (positive feedback  
547 in MIROC3.2 and negative feedback in MIROC5), we investigated the mechanisms of  
548 the tropical low-cloud response to abrupt increases in atmospheric CO<sub>2</sub> concentration  
549 using two sets of ensemble 4×CO<sub>2</sub> experiments based on those models. The major  
550 results are summarized as follows.

551 1) An initial reduction in the tropical-mean  $C_l$  occurs in both models, which is likely the  
552 result of the positive cloud shortwave forcing (Fig. 18 of Watanabe et al. 2010). The  
553 decrease of  $C_l$  is accompanied by a shoaling of the PBL due to suppressed surface heat  
554 fluxes (Fig. 6), possibly as a part of the tropospheric adjustment.

555 2) The feedback of  $C_l$  can be separated into two timescales: fast and slow components,  
556 emerging during the first several years and after about 20 yrs, respectively. The slow  
557 component commonly shows a gradual decrease of the tropical-mean  $C_l$ , the rate of  
558 which matches well with the slope determined by the  $C_l$  response to ENSO in the  
559 control runs.

560 3) The fast component in the two models shows an opposite sense of decrease in  
561 MIROC3.2 and increase in MIROC5, which are crucial for the total  $C_l$  response and  
562 consistent with the different cloud shortwave feedbacks between the two models.  
563 However, changes in the  $C_l$  regime diagram, i.e. the decrease over the subsidence



564 regime and increase over the other subtropical regions where a thermodynamic  
565 condition favourable for  $C_l$  happens more frequently, are qualitatively similar to each  
566 other. The sign of the tropical-mean  $C_l$  is thus determined by a subtle residual of the  
567 increase and decrease of the regional  $C_l$ .

568 4) The frequency change in the thermodynamic condition measured by LTS is similarly  
569 found in six other climate models despite a large difference of both the mean and the  
570 changes in the low-cloud fraction for a given LTS. This suggests that the response of the  
571 thermodynamic constraint for  $C_l$  to increasing  $\text{CO}_2$  concentration is a robust part of the  
572 climate change.

573 The second finding partly coincides with conclusions in Dessler (2010). The cloud  
574 response to radiative forcing shows up primarily on the fast time scale in our  
575 experiments and is distinct from the cloud response to natural climate variability. This  
576 implies that the ENSO-related  $C_l$  variability cannot be used to constrain the  $C_l$  response  
577 to climate change. At the same time, there might be confusion about the time scale of  
578 these responses. Namely, Dessler (2010) discussed the observational constraint on  
579 short-term variability, which corresponds to the natural variability which appeared on  
580 the long time scale in our  $4\times\text{CO}_2$  experiments (Fig. 5). This apparently opposite result  
581 could arise from the experimental design of the abrupt  $\text{CO}_2$  increase. Since the time  
582 scale of the fast response depends not only on the system's inertia (cf. Held et al. 2010)  
583 but also on the time scale of the change in the radiative forcing, the fast response  
584 identified in this study appears on much longer time scales in realistic 20<sup>th</sup> century and  
585 future scenario runs (Watanabe et al. 2011b).

586 On one hand, the above arguments may be somewhat discouraging because they  
587 suggest that the radiatively forced  $C_l$  response can hardly be constrained from the

588 observed natural variability. On the other hand, the response of the thermodynamic  
589 condition to the abrupt CO<sub>2</sub> increase, i.e.  $\Delta$ LTS, which shows a large similarity among  
590 the models both in terms of sign and horizontal distribution (Fig. 10), is encouraging to  
591 the modelling groups. This suggests that the LTS change is not crucially dependent on  
592 the details of cloud representation such as sub-cloud layer and coupling between cloud  
593 physics and turbulence. Yet, the magnitude of  $\Delta$ LTS was largely different among the  
594 eight models analysed here, so that further studies are needed to deepen our  
595 understanding of the LTS change under global warming.

596 In contrast to the robust thermodynamic change discussed above, the change in the  
597 vertical structure of low clouds for a given LTS is complex and is still divergent among  
598 the models (Fig. 9). Even though the thermodynamic contribution to  $\Delta C_l$  (first term in  
599 Eq. 3b) is positive in all the models, any cloud structure change due to other processes  
600 (second term in Eq. 3b) would have a positive contribution to  $\Delta C_l$  in some models but  
601 negative in others. It is not clear what processes are responsible for the latter, and a  
602 systematic approach, not simply comparing the GCM outputs, is desirable to pursue this  
603 question. For example, a single column model derived from a GCM and therefore  
604 including all the physical processes represented therein will be a useful tool to examine  
605 the cloud response to a prescribed large-scale forcing (Zhang and Bretherton 2008). At  
606 the same time, we anticipate that the ongoing second phase of CFMIP based on newer  
607 versions of GCMs will provide another set of multi-model ensemble. It is thus  
608 imperative to analyse and compare the cloud response between the two CFMIP  
609 ensembles when they become available. We will attempt to contribute to such activity,  
610 and also plan to generate another model ensemble based on a hybrid version of  
611 MIROC3.2 and MIROC5 in which individual parameterization schemes can be

612 interchangeable. The results will be reported elsewhere.

613

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618

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741 FIGURE CAPTIONS

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743 **Fig. 1a-f** Local correlation maps of monthly anomalies: **a**  $C_l$  and  $\omega_{500}$  in observations, **b**  
744  $C_l$  and LTS in observations, **c-f** same as **a-b** but for 150 y control runs of  
745 MIROC3.2 and MIROC5, respectively. The observed  $C_l$  data are derived from  
746 ISCCP, and both  $\omega_{500}$  and LTS are calculated from the JRA reanalysis for 1984-  
747 2007.

748 **Fig. 2a-f** Heterogeneous regression maps of the monthly SST (K) and  $C_l$  (%) anomalies  
749 associated with the leading SVD between them: **a-b** observations for the period  
750 from July 1983 to June 2005 based on Ishii et al. (2006) SST and the ISCCP cloud  
751 data, **c-d** observations from June 2006 to November 2008 based on NOAA OISST  
752 and CALIPSO, **e-f** 150 y control run of MIROC3.2, and **g-h** 150 y control run of  
753 MIROC5. The values of squared covariance fraction and correlation between the  
754 corresponding expansion coefficients are shown at the top of each panel.

755 **Fig. 3a-f** Scatter plot of the monthly tropical-mean  $C_l$  anomaly (%) against the Niño 3  
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757 of MIROC3.2 and MIROC5, respectively. The regression slope and correlation  
758 coefficient are also shown. **d-f** Contribution of dynamic (blue) and thermodynamic  
759 (red) components to the  $C_l$  anomaly as revealed by the scatter plot against the  
760 reconstructed  $C_l$  (denoted as  $\tilde{C}_l$ ) anomaly corresponding to **a-c**.

761 **Fig. 4a-d** Differences in annual-mean fields between the 4xCO<sub>2</sub> and control runs ( $\Delta$ ):  
762 **a,c** regression of the annual-mean  $\Delta\text{SAT}$  (K K<sup>-1</sup>) on  $\Delta\text{SAT}_g$  in the 10-member  
763 ensemble of MIROC3.2 and the 6-member ensemble of MIROC5, **b,d** scatter plot  
764 of the global-mean oceanic  $\Delta C_l$  (%) against  $\Delta\text{SAT}_g$  in MIROC3.2 and MIROC5.

765 Blue triangles are the ensemble-means from the 20 y integration whereas red  
766 crosses denote the values from a single 150 y run.

767 **Fig. 5** Same as Fig. 4**b,d** but for the tropical-mean  $\Delta C_l$  (%) against  $\Delta SST$  (K) in the  
768 Niño 3 region. Blue triangles are the annual- and ensemble-averages from the 20 y  
769 integration whereas yellow stars indicate the values at the first month. Green  
770 crosses, red circles, and thick lines denote the annual- and decadal-mean values  
771 and the regression slope for the single 150 y run. The background dashed lines are  
772 the slopes for the intrinsic variability shown in Fig. 3**b,c**.

773 **Fig. 6** Adjustment components of the response in the 20y ensemble: **a**  $\Delta Z_{PBL}$  (m) in  
774 MIROC3.2, **b**  $\Delta Z_{PBL}$  in MIROC5, **c**  $\Delta Q$  ( $W m^{-2}$ ) in MIROC3.2, **d**  $\Delta Q$  in MIROC5.  
775 White contours in **a-b** indicate climatological-mean  $Z_{PBL}$  in the control run.

776 **Fig. 7** Feedback components of the response in the 20y ensemble: **a-b**  $\Delta C_l$  ( $\% K^{-1}$ ) in  
777 MIROC3.2 and MIROC5, respectively, **c-d**  $\Delta \omega_{500}$  ( $hPa dy^{-1} K^{-1}$ ), **e-f**  $\Delta LTS$  ( $K K^{-1}$ ),  
778 and **g-h**  $\Delta SST$  ( $K K^{-1}$ ). The solid and dashed contours in **c-d** indicate the  
779 climatological-mean  $\omega_{500}$  in the control run (+20 and -20  $hPa dy^{-1}$ ), and the  
780 contours in **e-f** denote the mean LTS of 15 K in the control run.

781 **Fig. 8a-d** Low-cloud regime diagrams: **a-b**  $C_l$  composites (%) with respect to  $\omega_{500}$  ( $hPa$   
782  $dy^{-1}$ ) and LTS (K) in MIROC3.2, **c-d** same as **a-b** but for MIROC5. Blue (red)  
783 curves indicate the composite average in the control ( $4\times CO_2$ ) run, and the shading  
784 denotes one std dev. The PDF in the control run ( $4\times CO_2$ ) is also shown by the blue  
785 (red) curve at the bottom.

786 **Fig. 9a-i** Regime composite of the cloud fraction in the lower troposphere over the  
787 tropical oceans as sorted by LTS, together with its PDF: **a** CloudSAT/CALIPSO  
788 from June 2006 to May 2007, **b** MIROC3.2, **c** MIROC5, **d-i** CFMIP1 models, all

789 from control runs. In **b-i**, contours indicate the difference between the control and  
790 either 4xCO<sub>2</sub> or 2xCO<sub>2</sub> runs, and blue (red) curves in the bottom panels are the  
791 PDF for the control (4xCO<sub>2</sub> or 2xCO<sub>2</sub>) run. The grey shading in the PDF gives the  
792 definition of stable regime.

793 **Fig. 10a-h** Difference in the occurrence frequency of stable regime,  $\Delta f_s$ , between the  
794 control and increased CO<sub>2</sub> runs: **a** MIROC3.2, **b** MIROC5, **c-h** CFMIP1 models.  
795 The grey contours indicate  $f_s = 90\%$  in the control run. The values of  $\Delta f_s$  in **a-b**  
796 have been divided by factor two for comparing with the other panels based on  
797 2xCO<sub>2</sub> runs. The threshold for  $f_s$  is indicated in Fig. 9.

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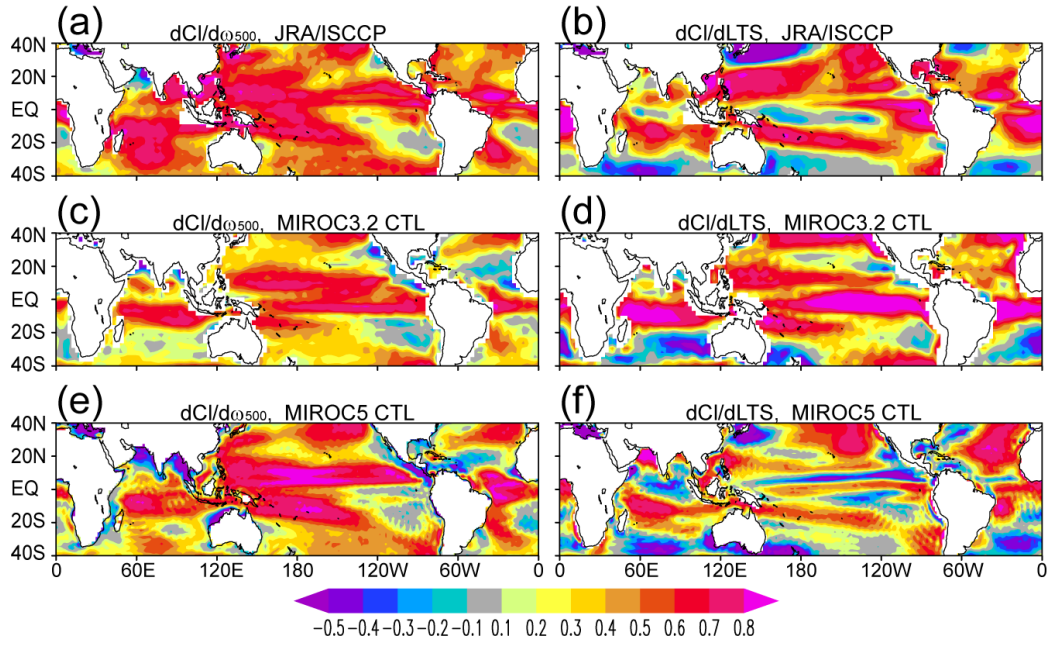
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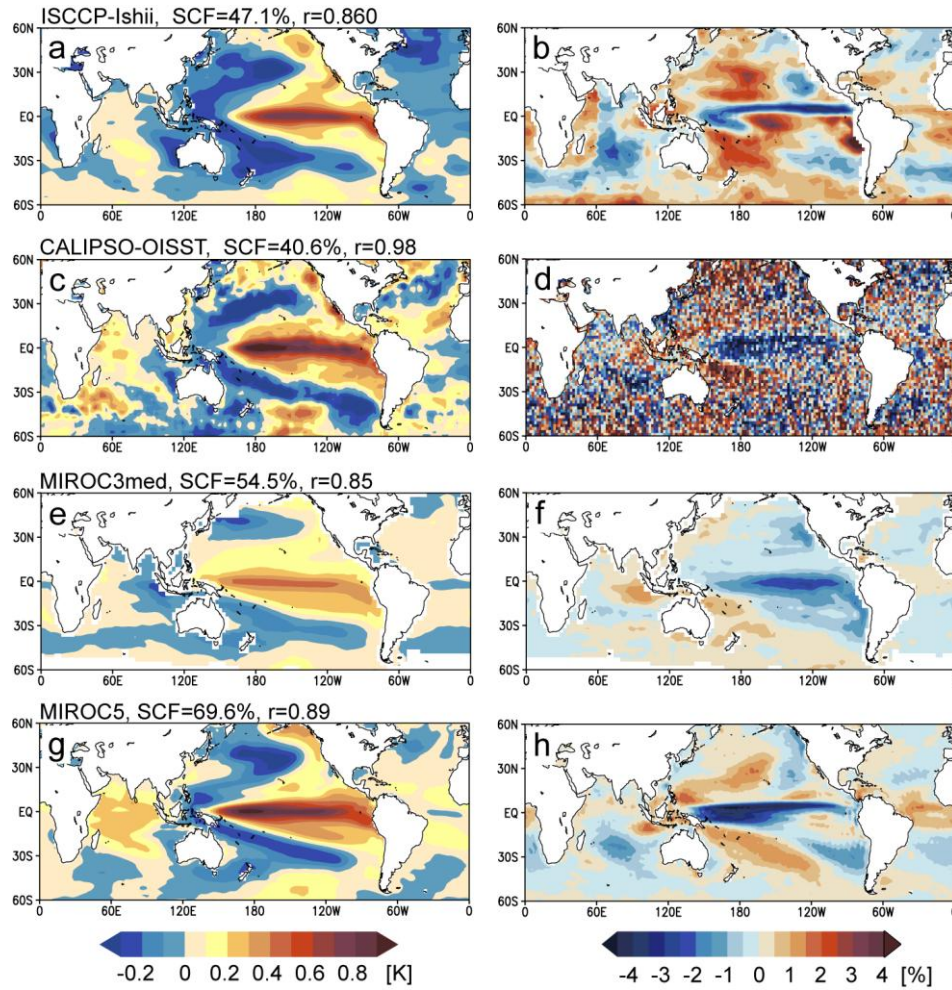
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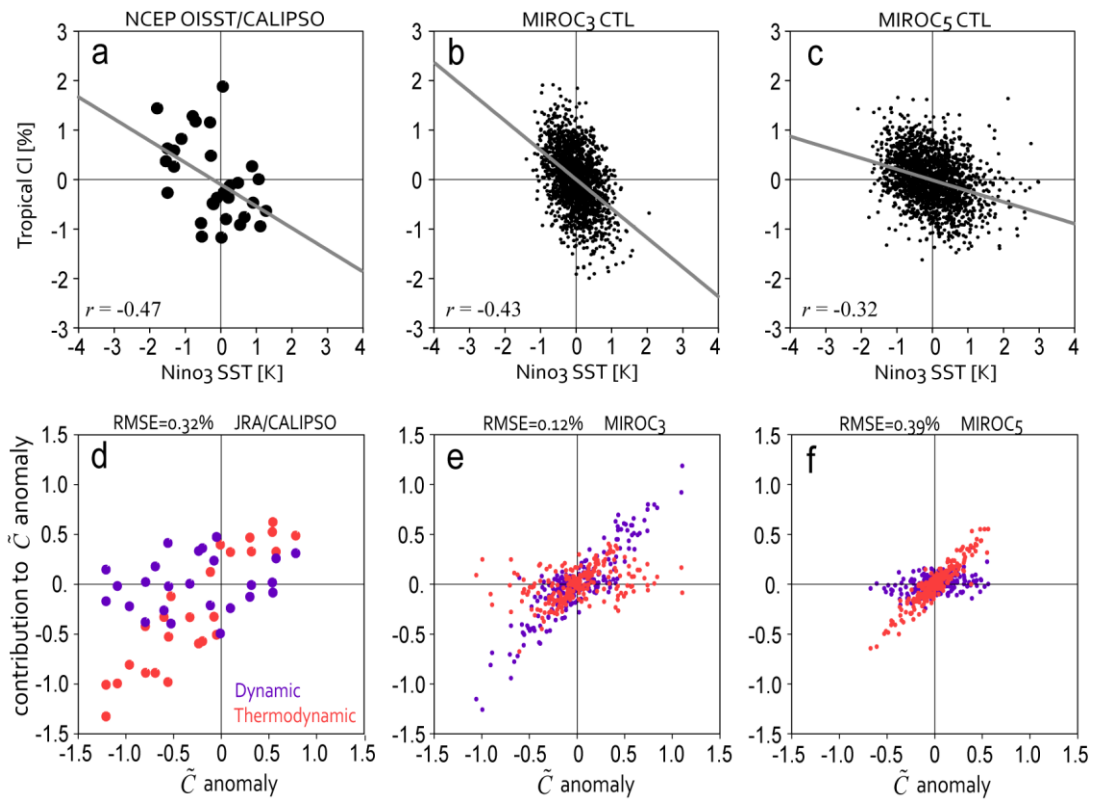
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846 are also shown. **d-f** Contribution of dynamic (blue) and thermodynamic (red)

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848  $C_l$  anomaly corresponding to **a-c**.

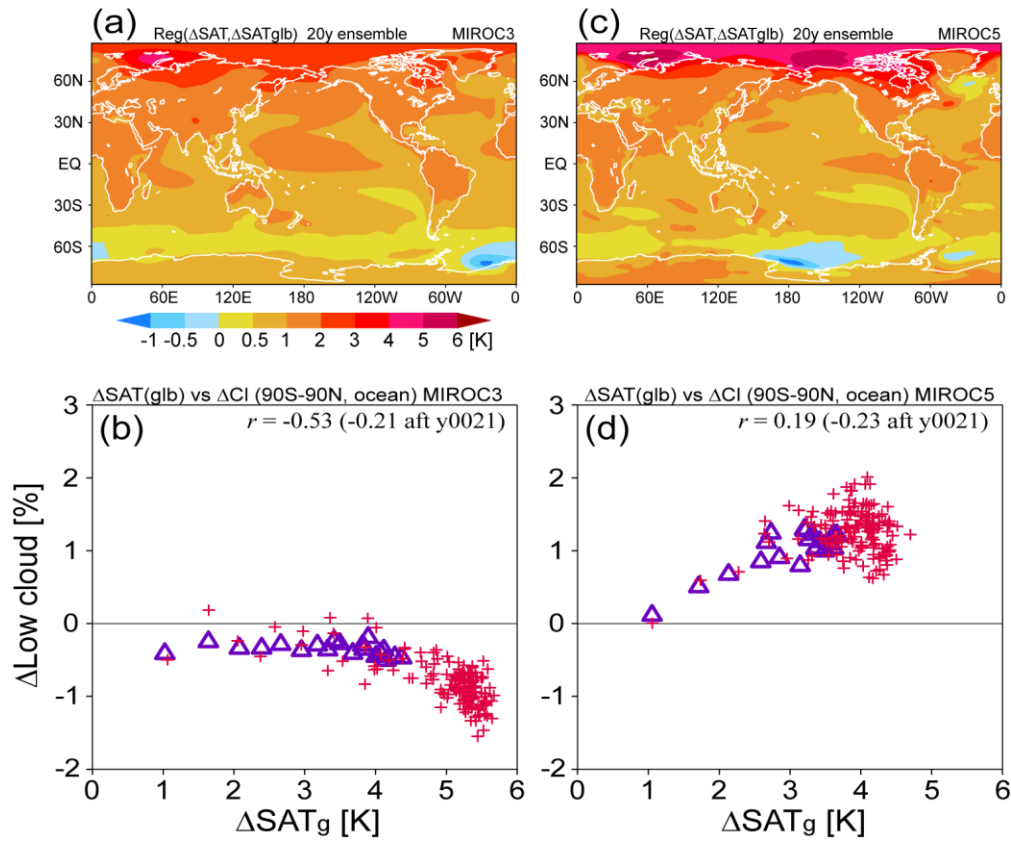
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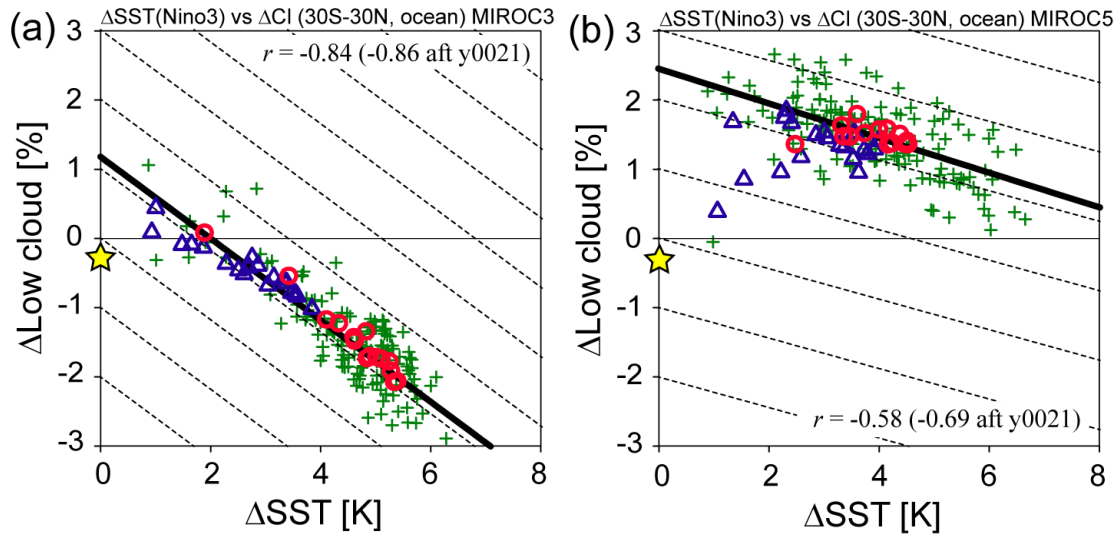
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874 the intrinsic variability shown in Fig. 3b,c.

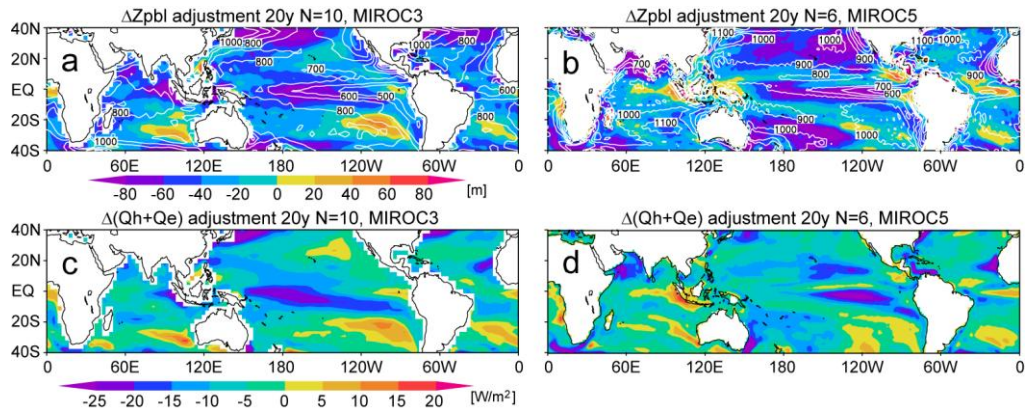
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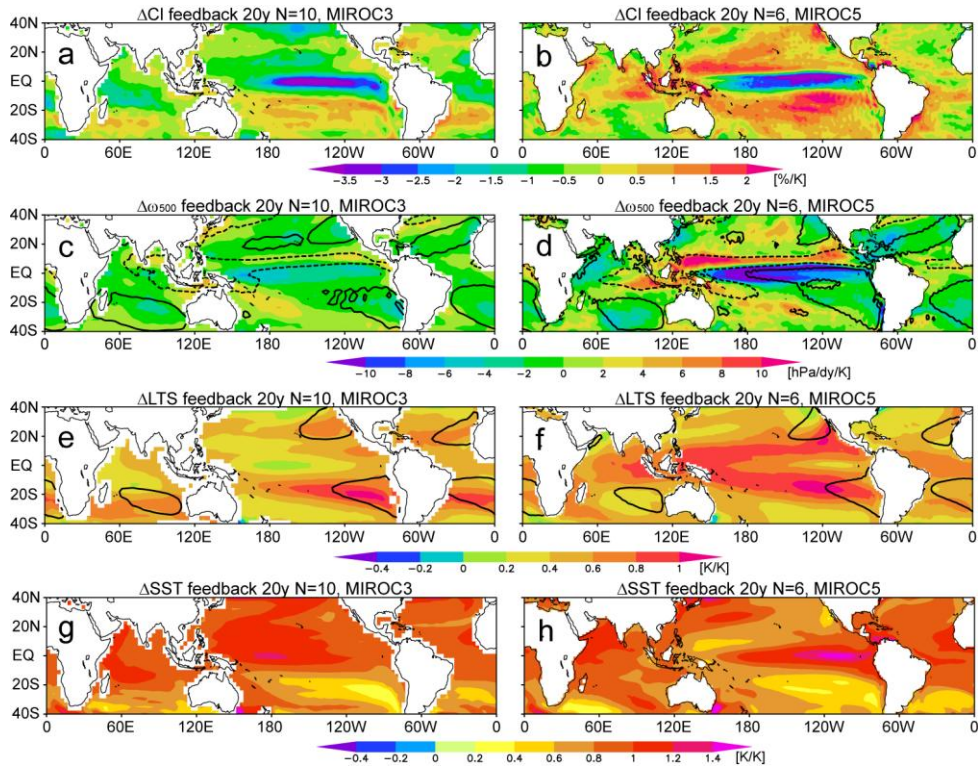
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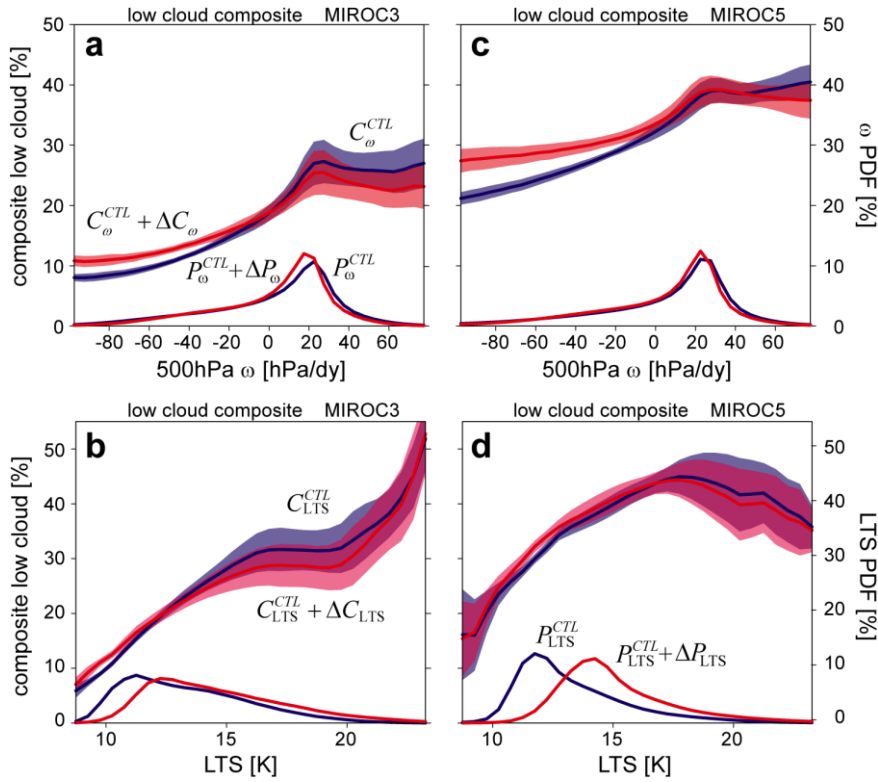
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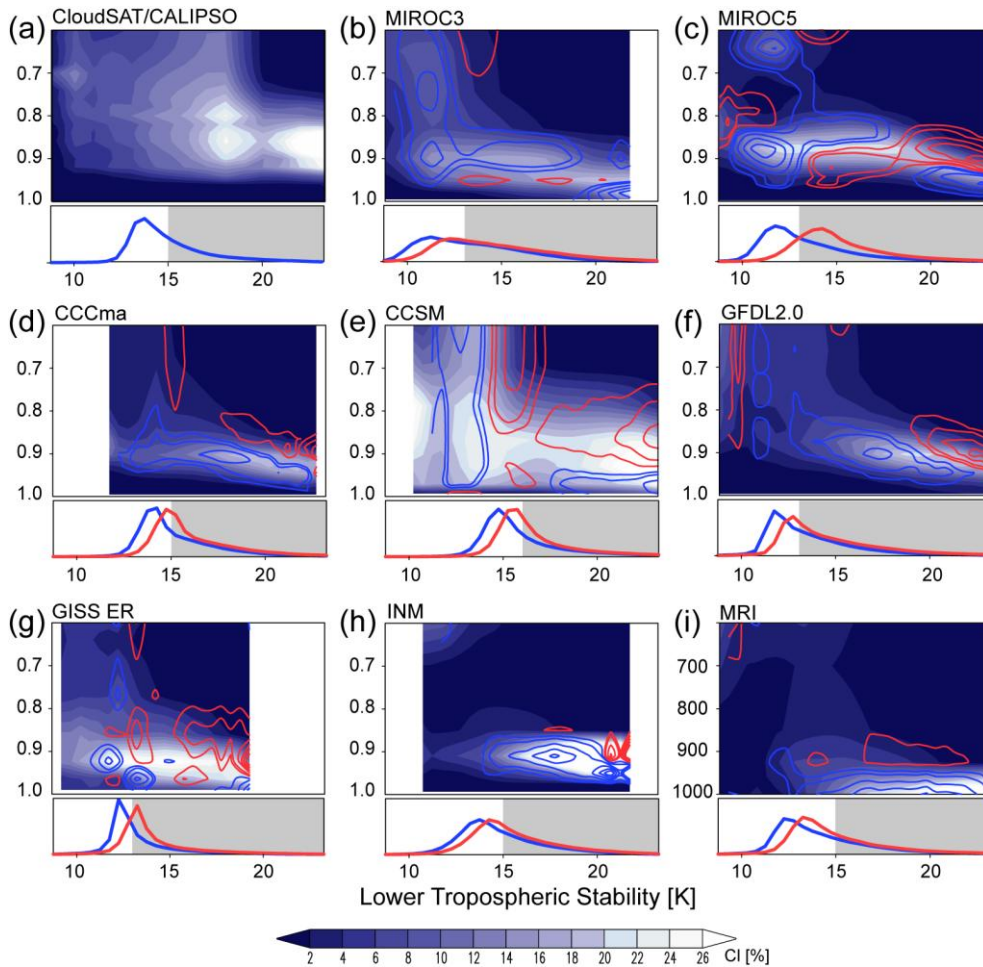
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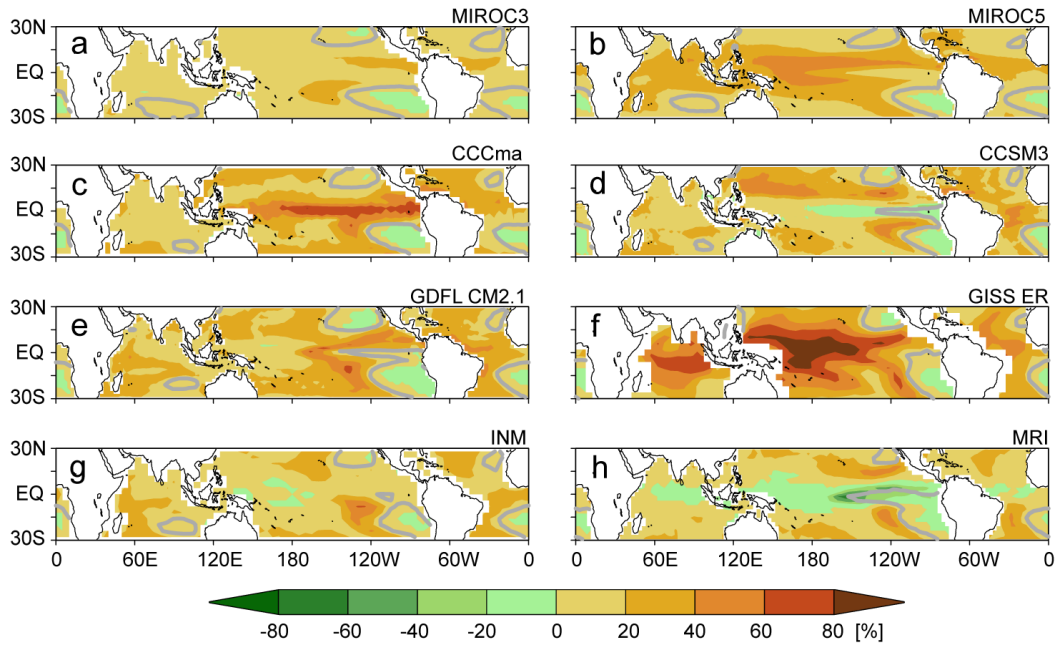
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